



# Challenges for near-surface Full Waveform Inversion (FWI)



By J. Virieux, ISTerre, Université Grenoble Alpes and SEISCOPE group



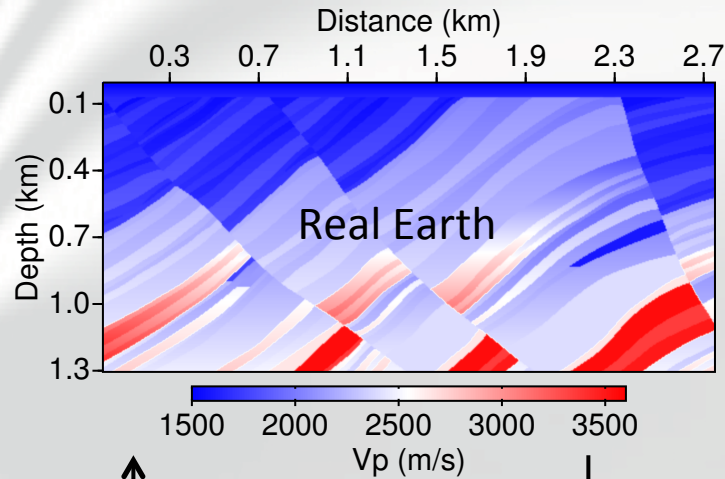
*SEISCOPE II* <http://seiscope2.osug.fr>



# OUTLINE

- **Introduction on FWI**
- Near surface seismic imaging issues
- Surface wave analysis
- Body wave analysis
  - Direct/refracted waves versus reflection waves
- Model impacts
- Conclusion

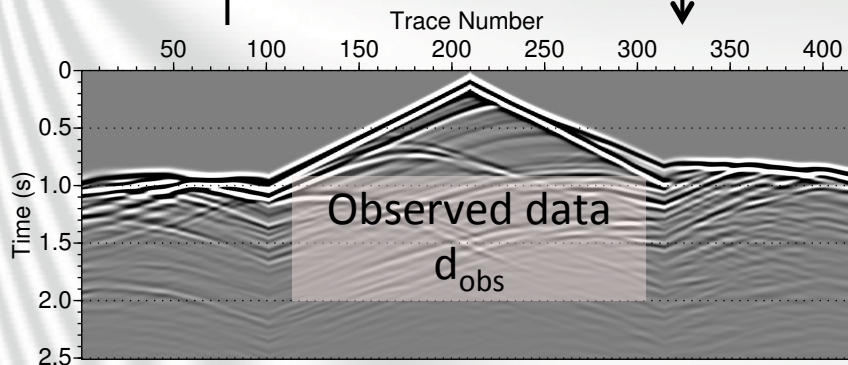
# FULL WAVEFORM INVERSION (FWI) – PRINCIPLE



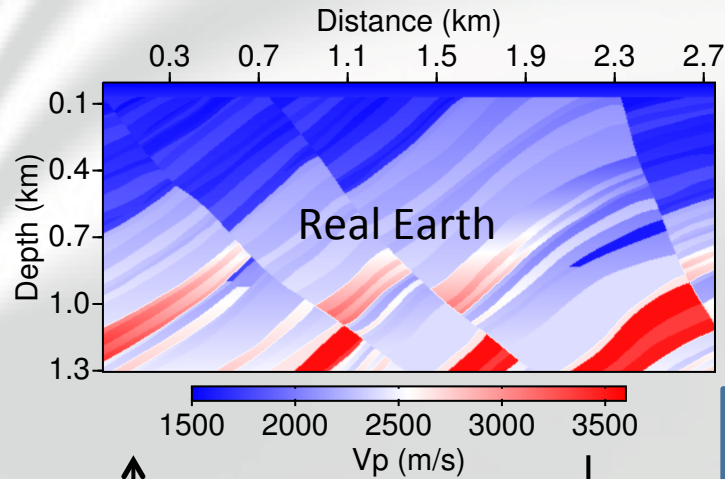
Ricker 10 Hz  
Max 25 Hz

?

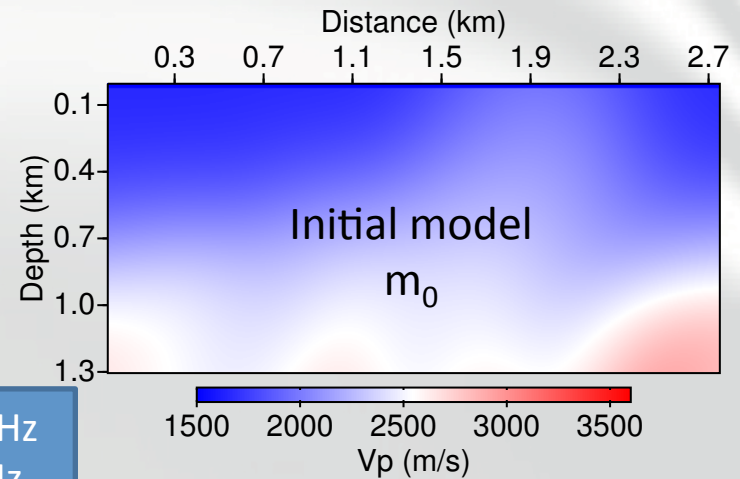
Measurement



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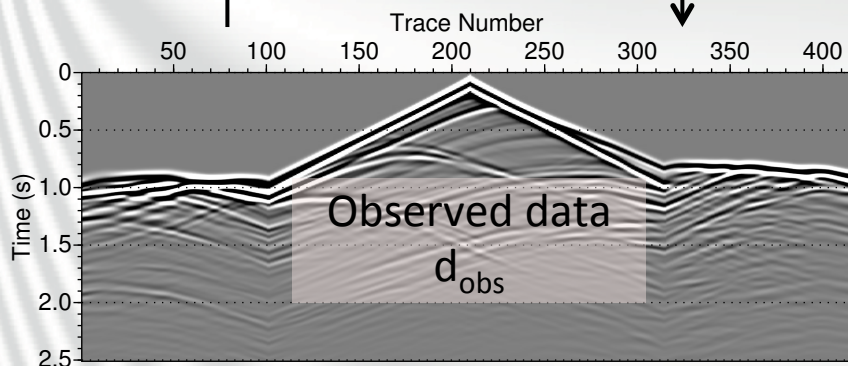


Ricker 10 Hz  
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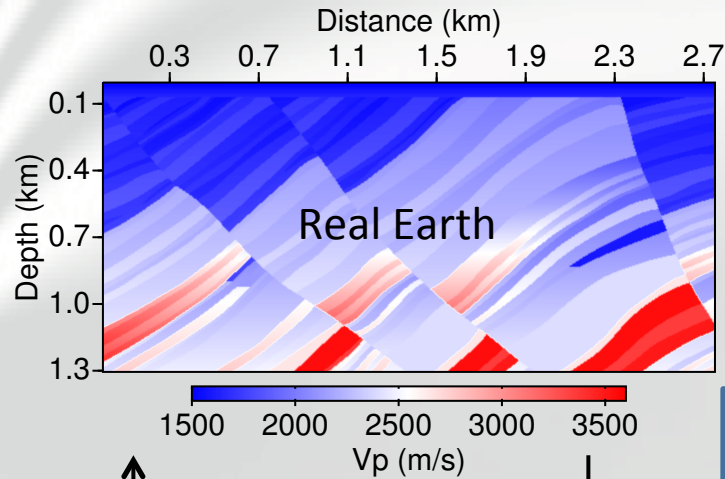


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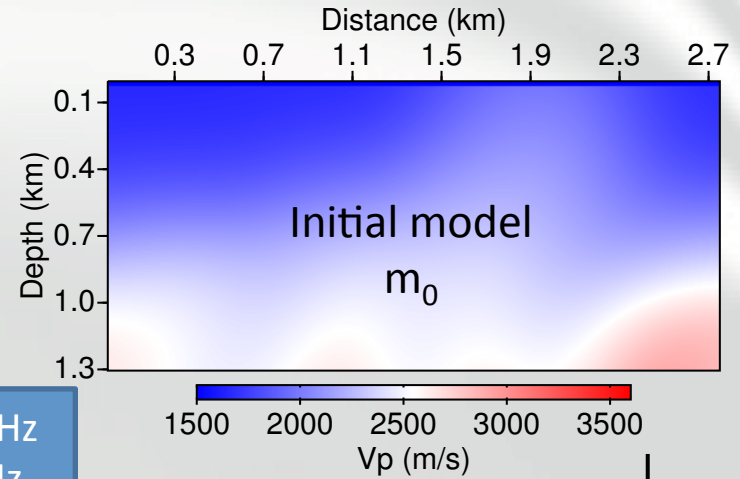
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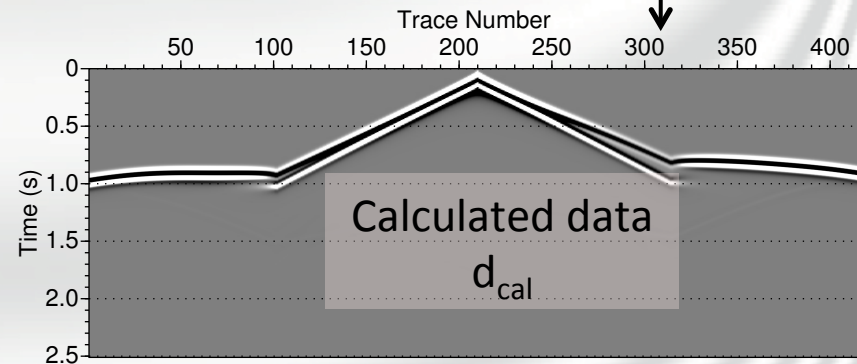
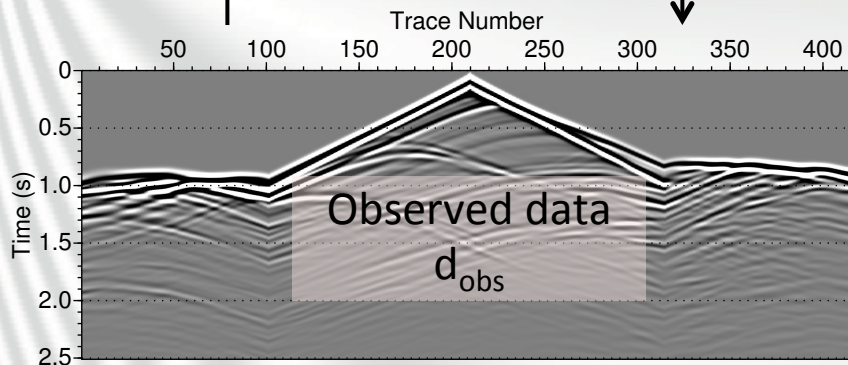
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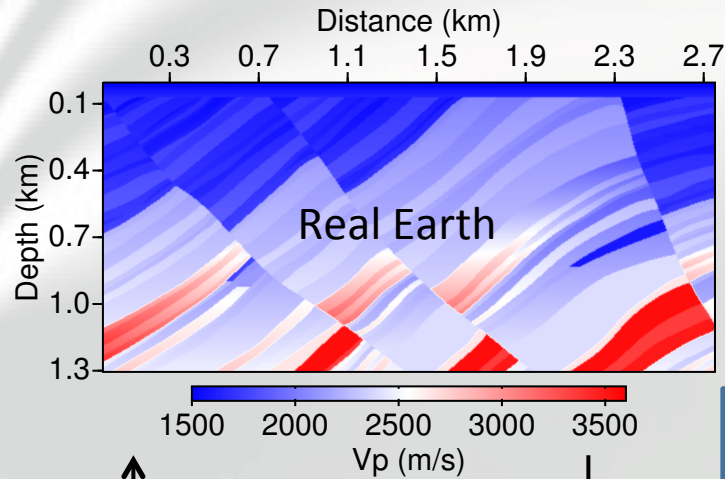
Forward  
Problem

?

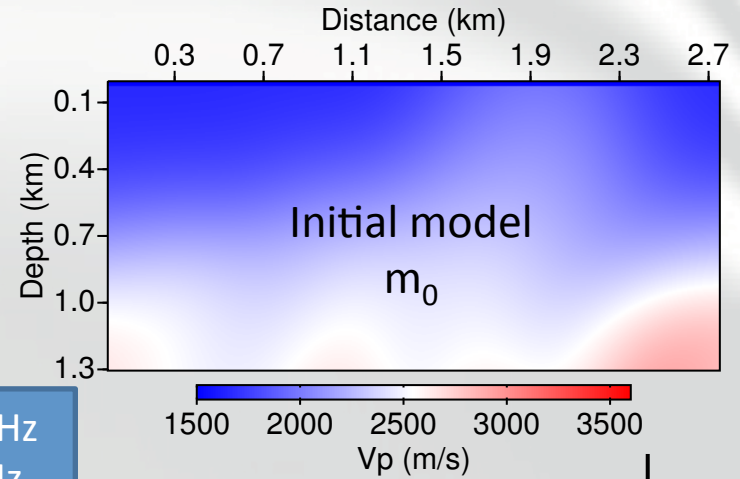
Measurement



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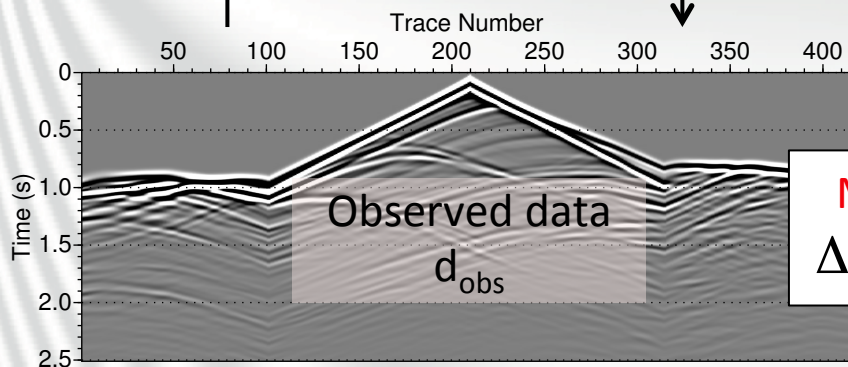
Ricker 10 Hz  
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Forward  
Problem

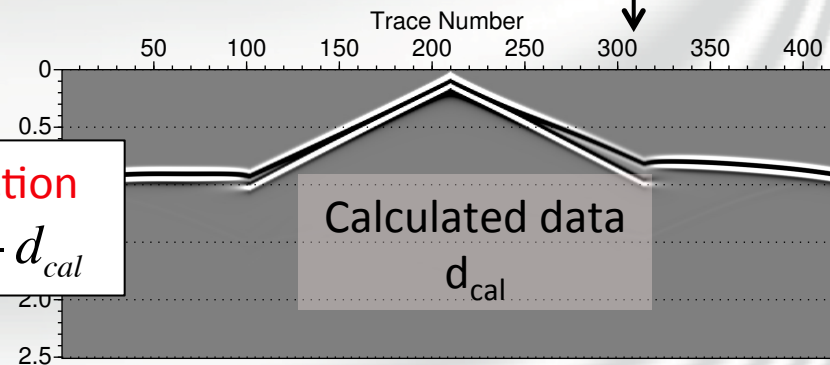
Measurement

?

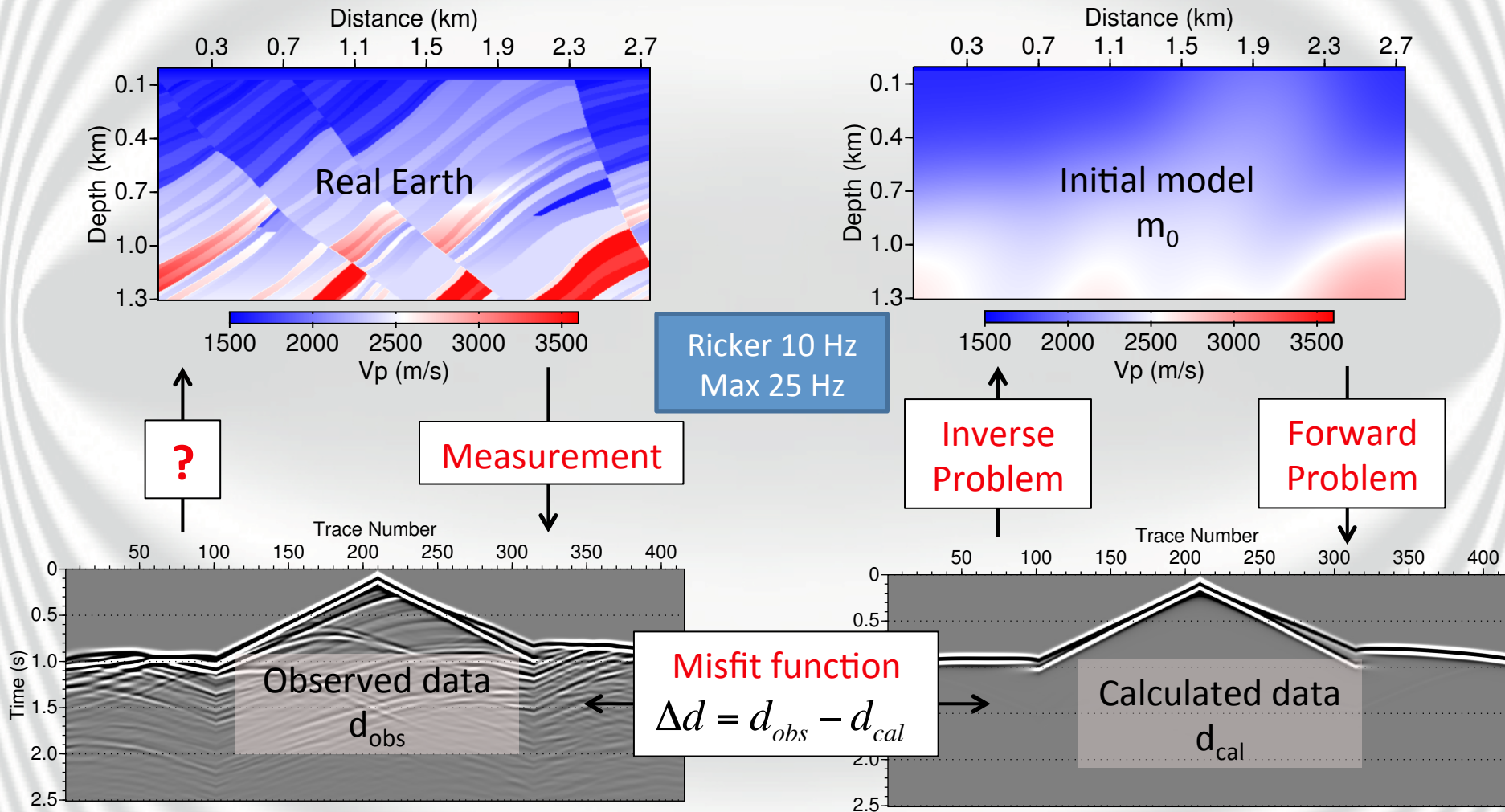


Misfit function

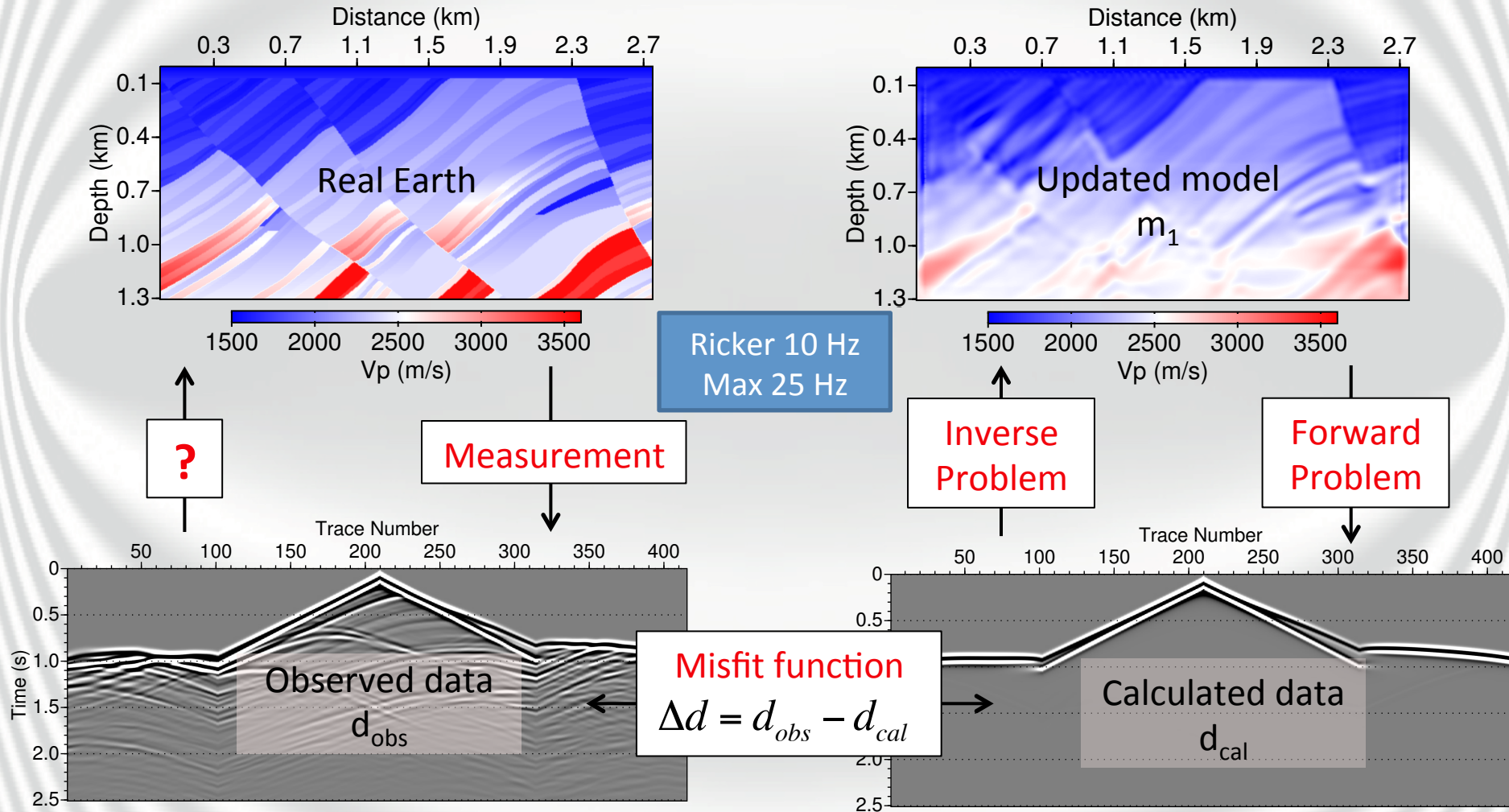
$$\Delta d = d_{obs} - d_{cal}$$



# FULL WAVEFORM INVERSION (FWI) – PRINCIPLE

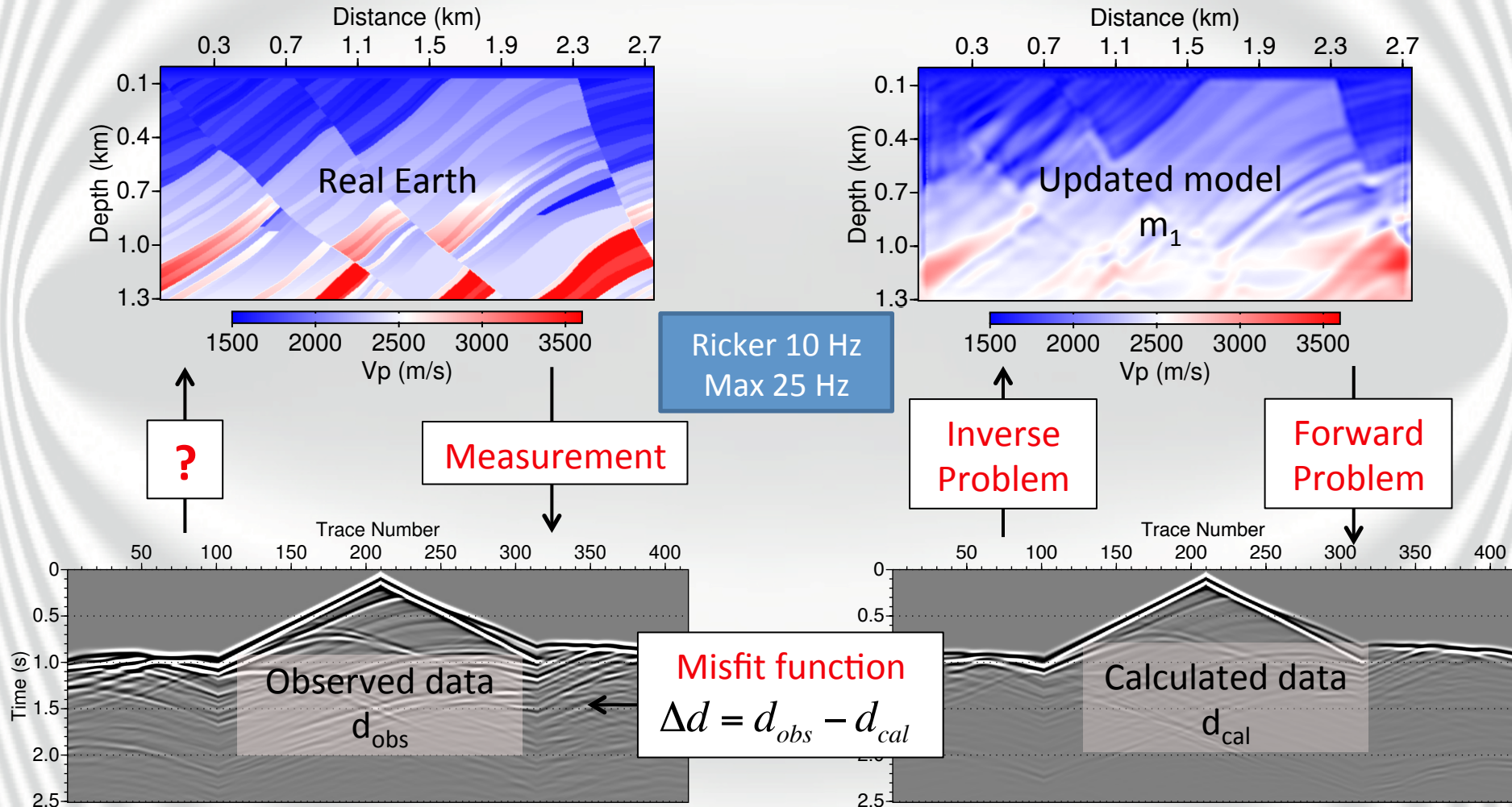


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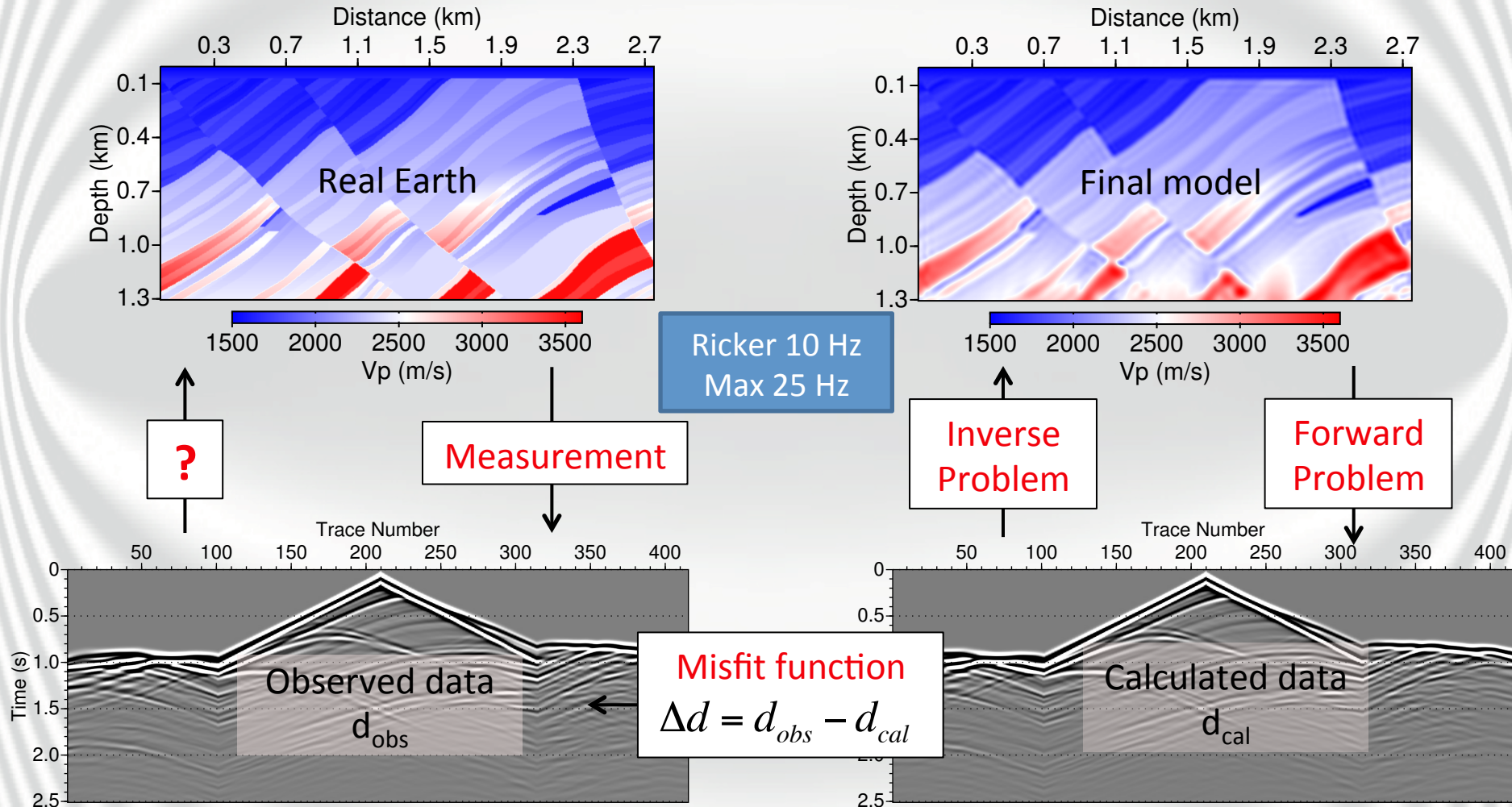




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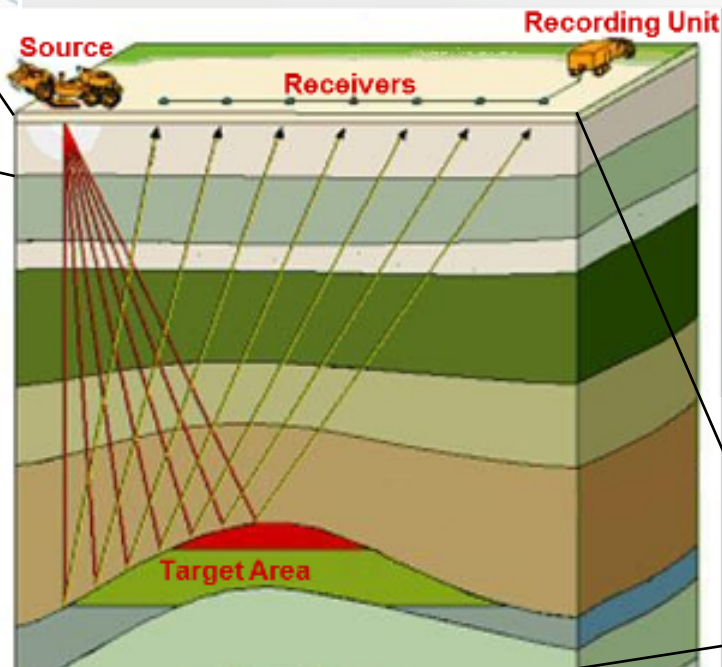
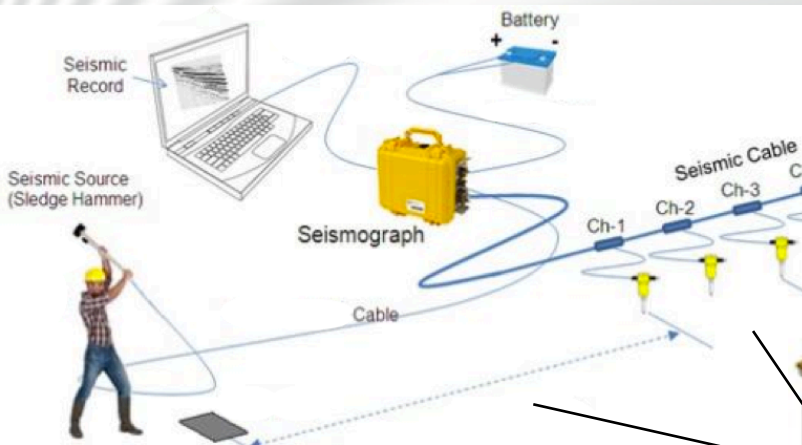
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# FWI ISSUES ON DIFFERENT SCALES

## Near surface scale

- complexity of the near-surface
- lack of high frequencies
- strong surface waves & elastic effects

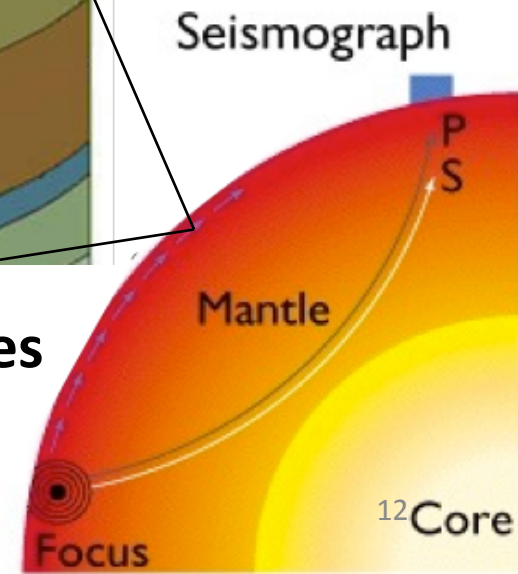


## Crustal exploration scale

- lack of low frequencies
- limited illumination and/or aperture
- complexity of target

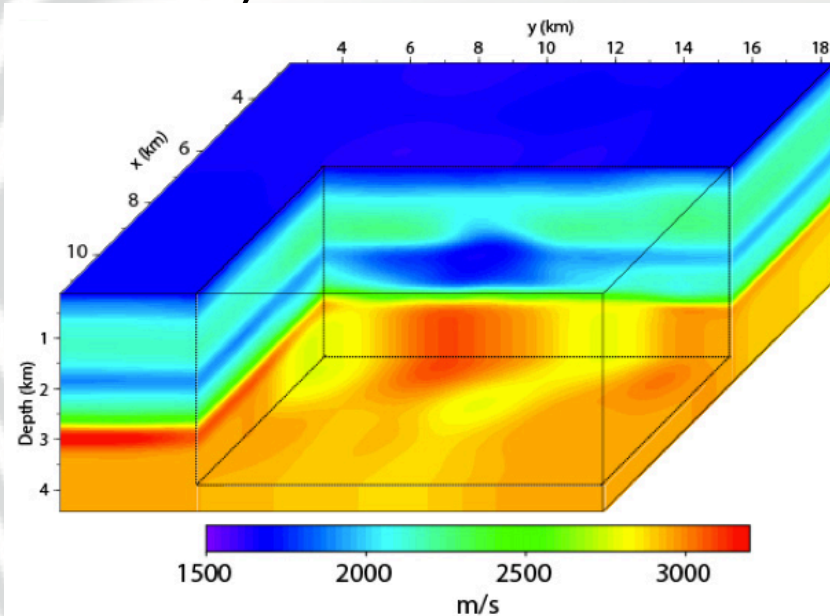
## Seismology at regional and global scales

- difficulties with data sampling

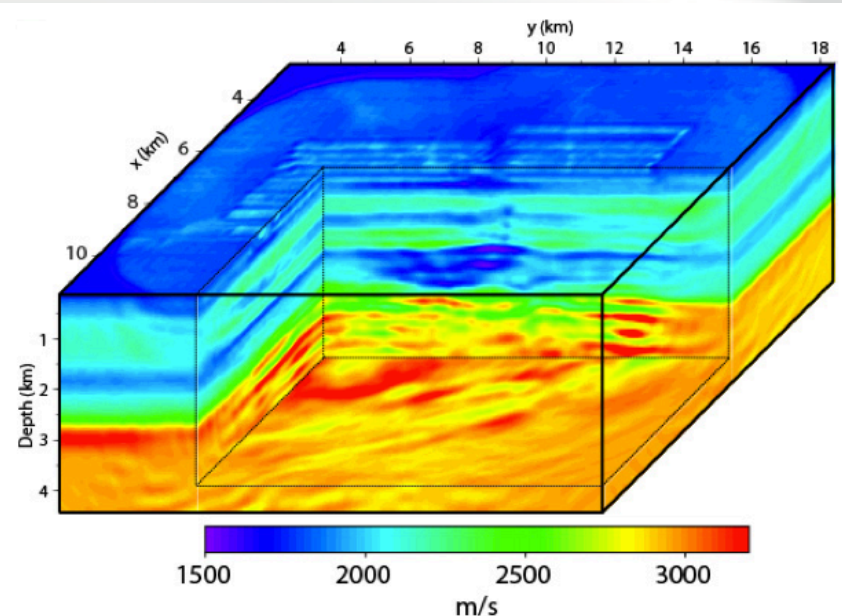


# ACOUSTIC FWI (crustal targets)

- 3D Acoustic FWI on the Valhall data, North Sea (Etienne et al., 2012)



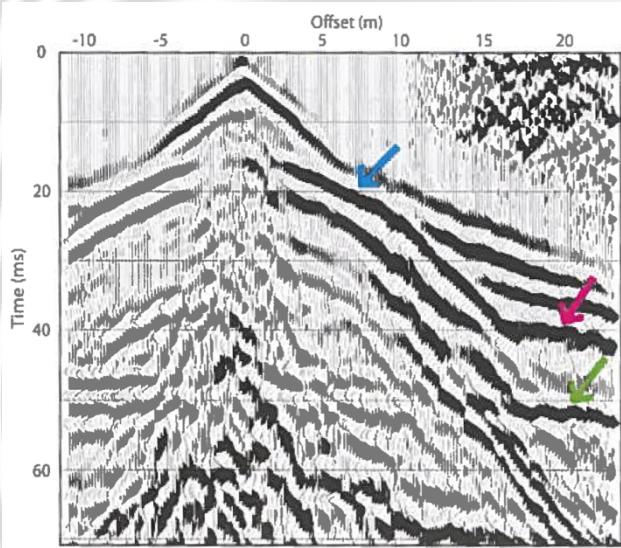
Initial model ( $v_p$ )



Final model ( $v_p$ )

- Yet the classical FWI workflow for most successful industry applications remains with an **acoustic approximation**.

# Seismic data hierarchy



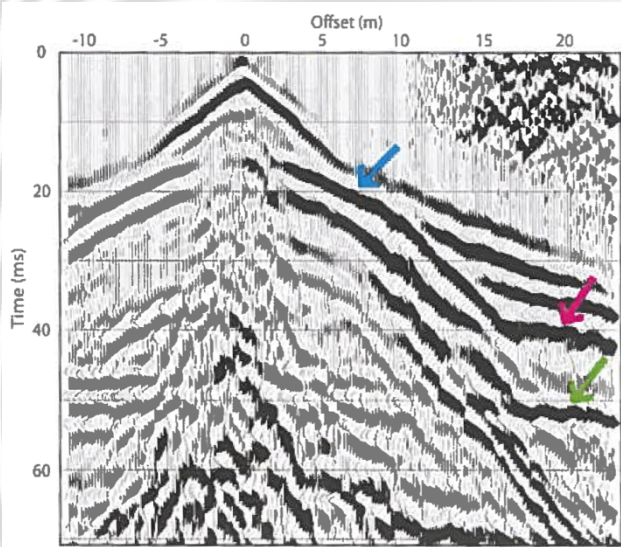
- Frequency content  $>$  and  $\sim 100$  Hz
- Phase identification and interpretation
- Separate interpretation
- Collaborative interpretation

(Zhang et al, 1998; Ivanov et al, 2006; Dal Moro & Pipan, 2007; Fabien-Ouellet & Fortier, 2014)

(Sloan et al, 2009, NSG)

- **Refraction analysis** – first arrival time tomography  
(Hagedoorn, 1959; Palmer, 1981; Brueckl, 1987, Zelt & Ellis, 1992; Yilmaz, 2001 ...)
- **Surface wave dispersion curve analysis** – phase analysis  
(McMechan & Hedlin, 1981; Heisey et al, 1982; Stokoe et al, 1994; Park et al, 1999; Socco & Strobbia, 2004; Boiera & Socco, 2010 ...)
- **Reflection analysis** – reflection time tomography  
(Inazaki et al, 2000; Wang et al, 2003; Pugin et al, 2004 ...)

# Seismic data hierarchy



- Frequency content  $>$  and  $\sim 100$  Hz
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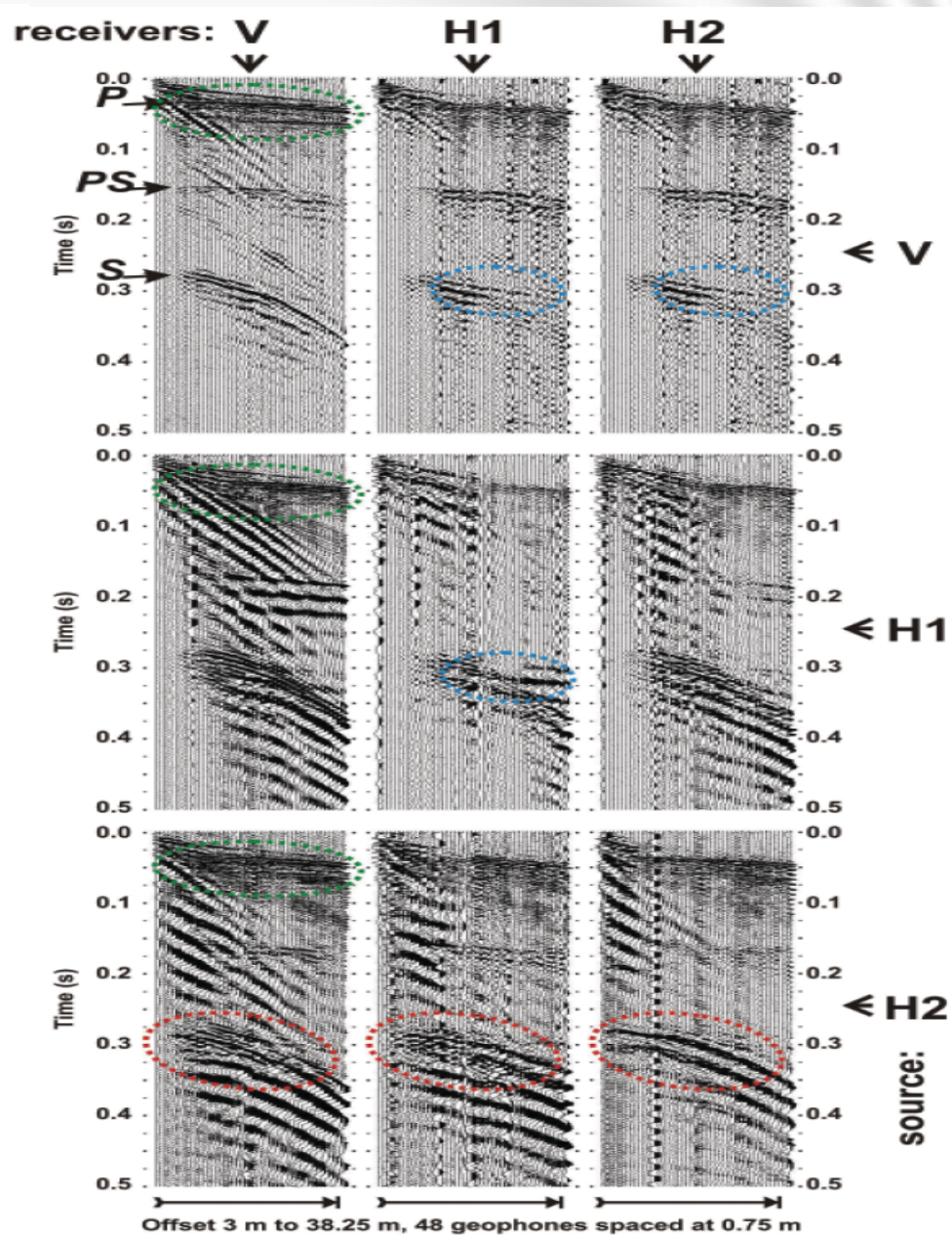
- Refraction analysis – first arrival **time** tomography  
(Hagedoorn, 1959; Palmer, 1981; Brueckl, 1987, Zelt & Ellis, 1992; Yilmaz, 2001 ...)
- Surface wave dispersion curve analysis – **phase** analysis  
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- Reflection analysis – reflection **time** tomography  
(Inazaki et al, 2000; Wang et al, 2003; Pugin et al, 2004 ...)

PHASE  
INFORMATION

# Multi-component data (2D lines)



(Pugin et al, 2009, TLE)





# Body and surface waves contributions to FWI

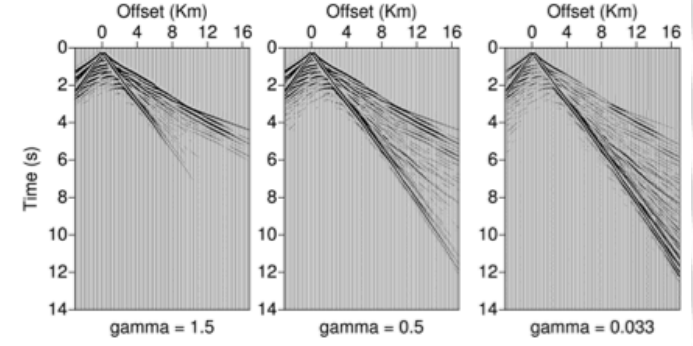
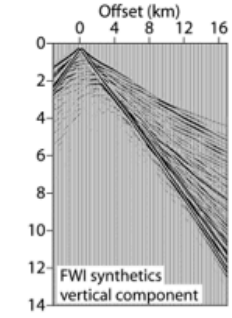
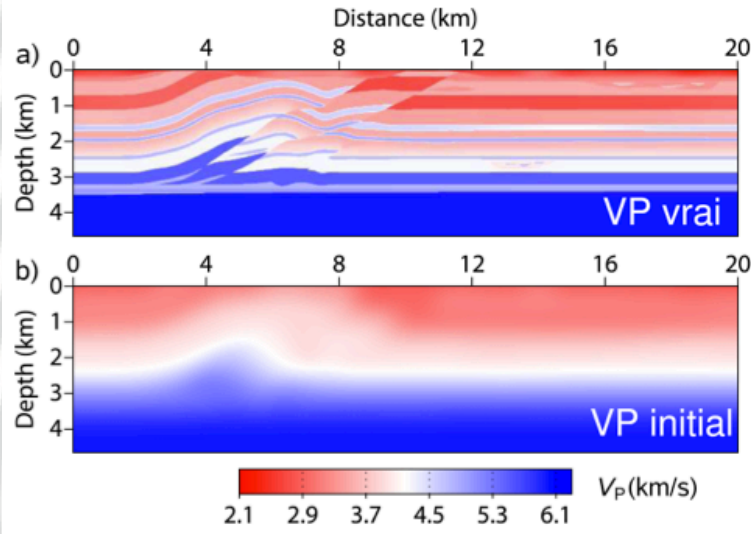
The near surface is characterized with

- heterogeneous velocity/attenuation structure
- complex topography

There have been several attempts in elastic FWI (in the framework of the SEISCOPE consortium):

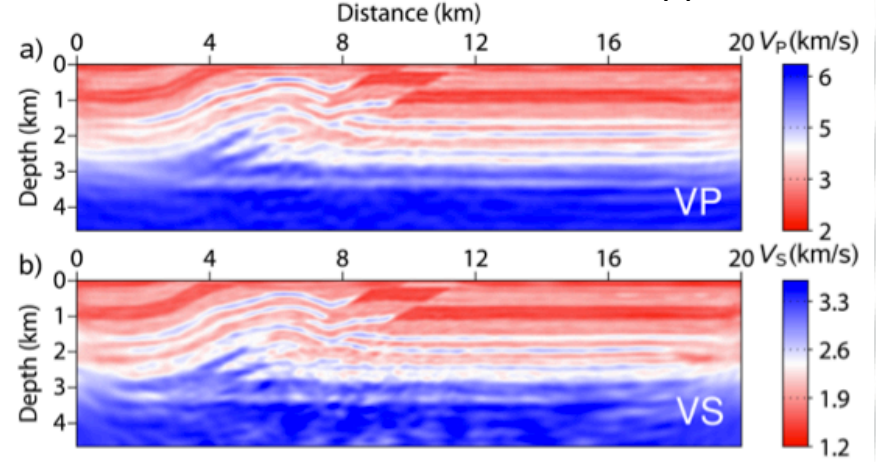
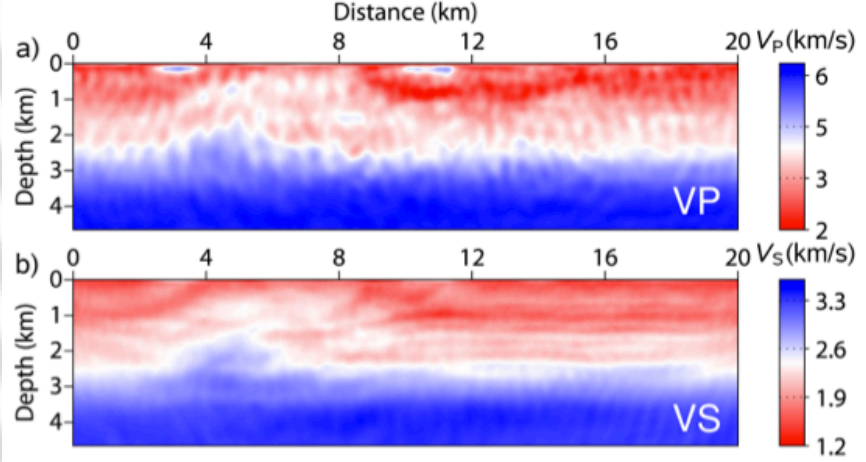
- Gelis (2005) concluded that including surface waves for elastic FWI makes the inversion highly **non-linear**.
- Brossier et al. (2009) concluded that, if the **starting model** did not **predict the kinematics** of the surface waves, the inversion would get locked in a local minimum.

# ELASTIC FWI HIERARCHICAL APPROACH



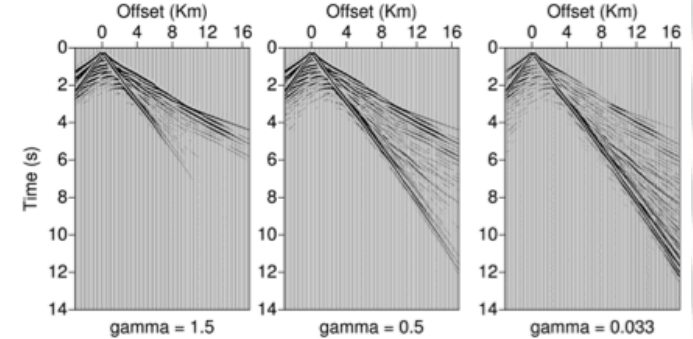
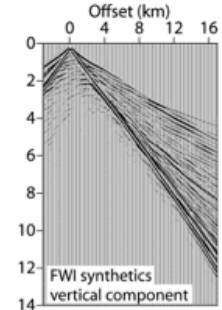
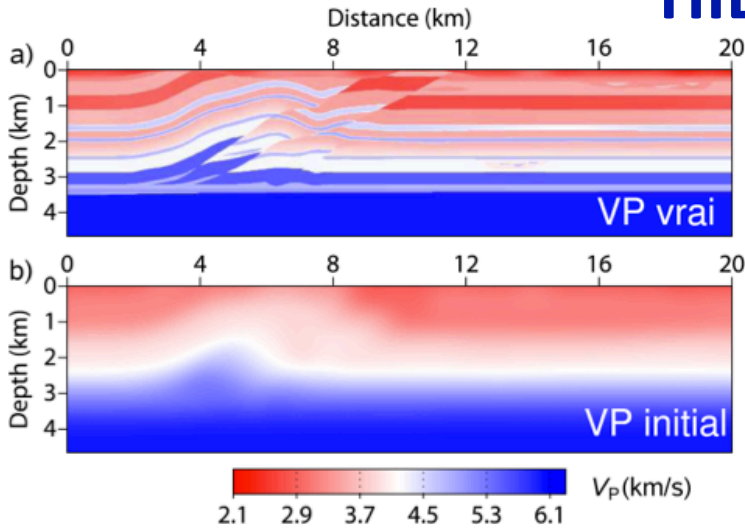
Classical Approach

New Hierarchical Approach



(Brossier et al., 2009)

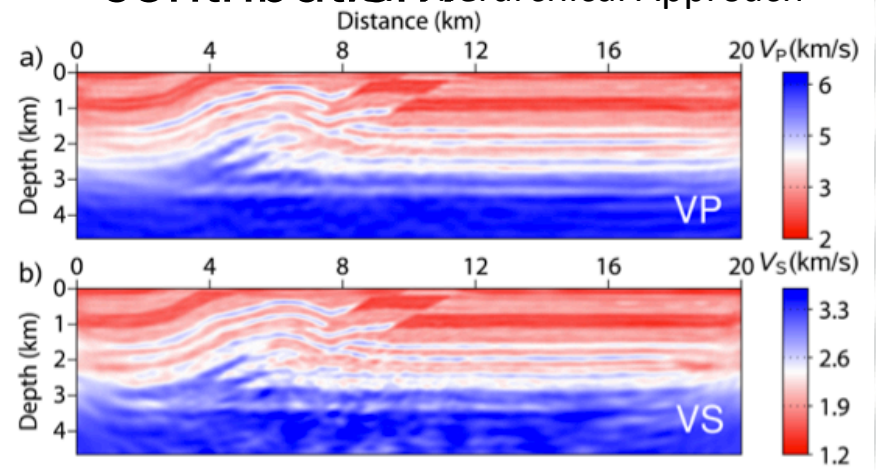
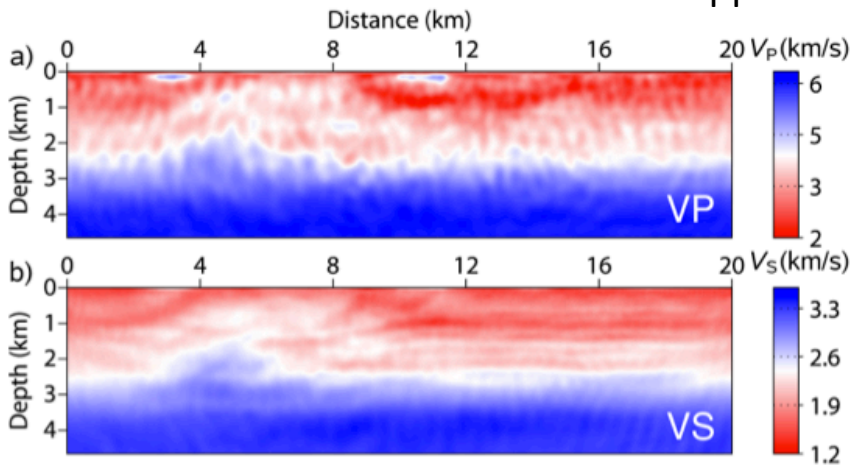
# ELASTIC FWI HIERARCHICAL APPROACH



What is exactly the surface wave contribution?

Classical Approach

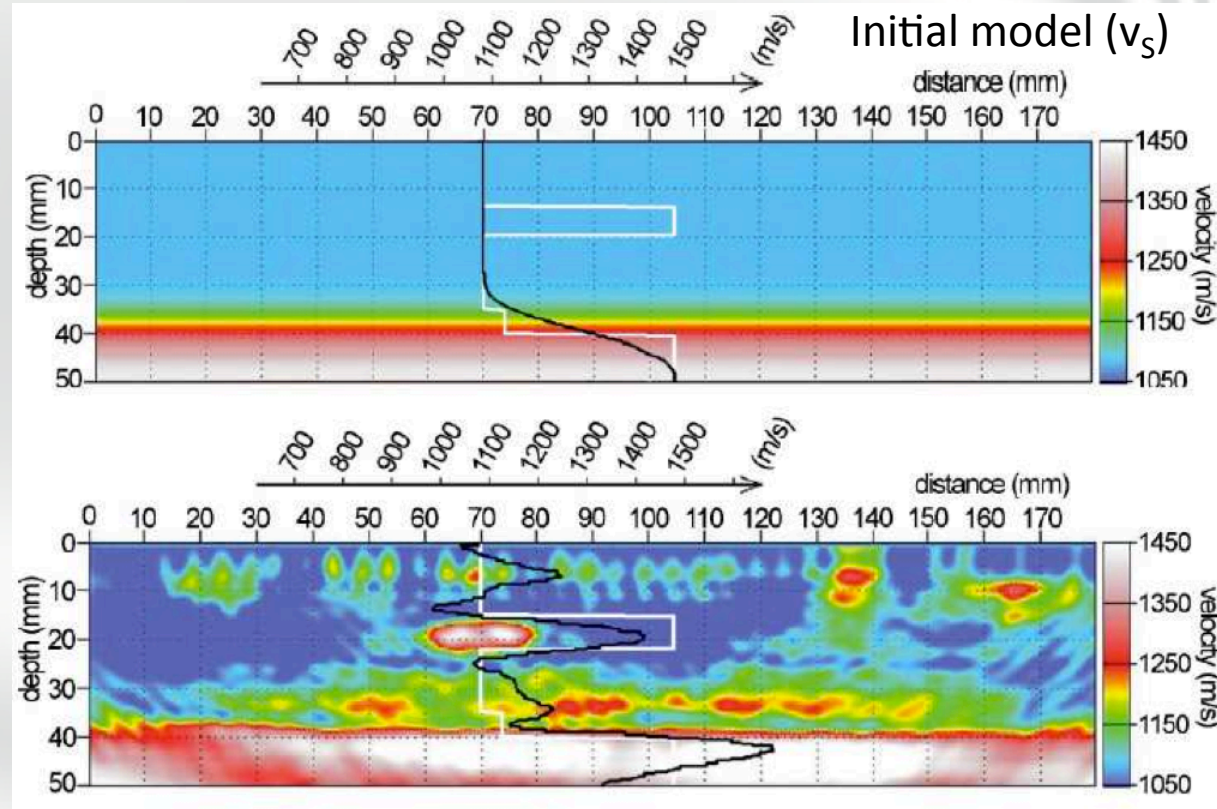
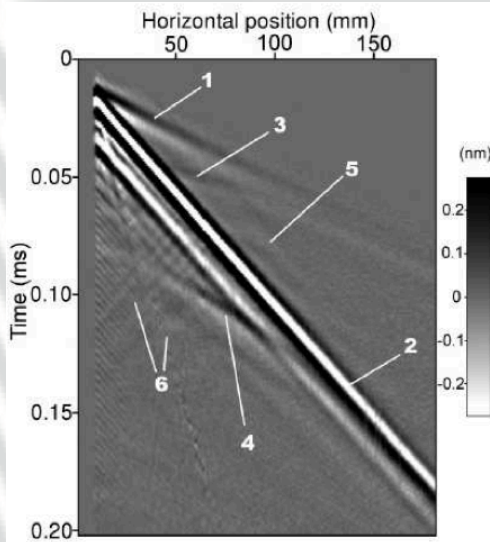
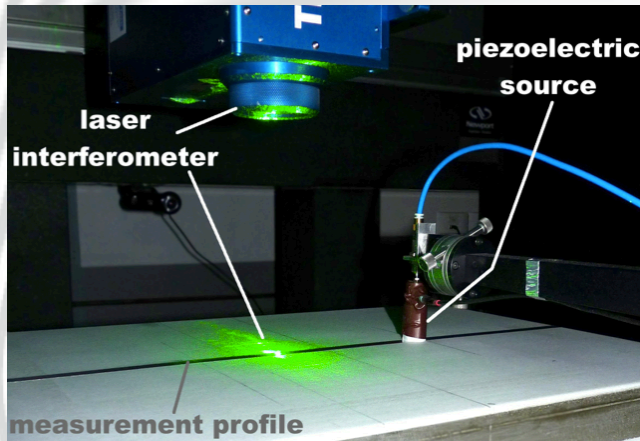
Hierarchical Approach



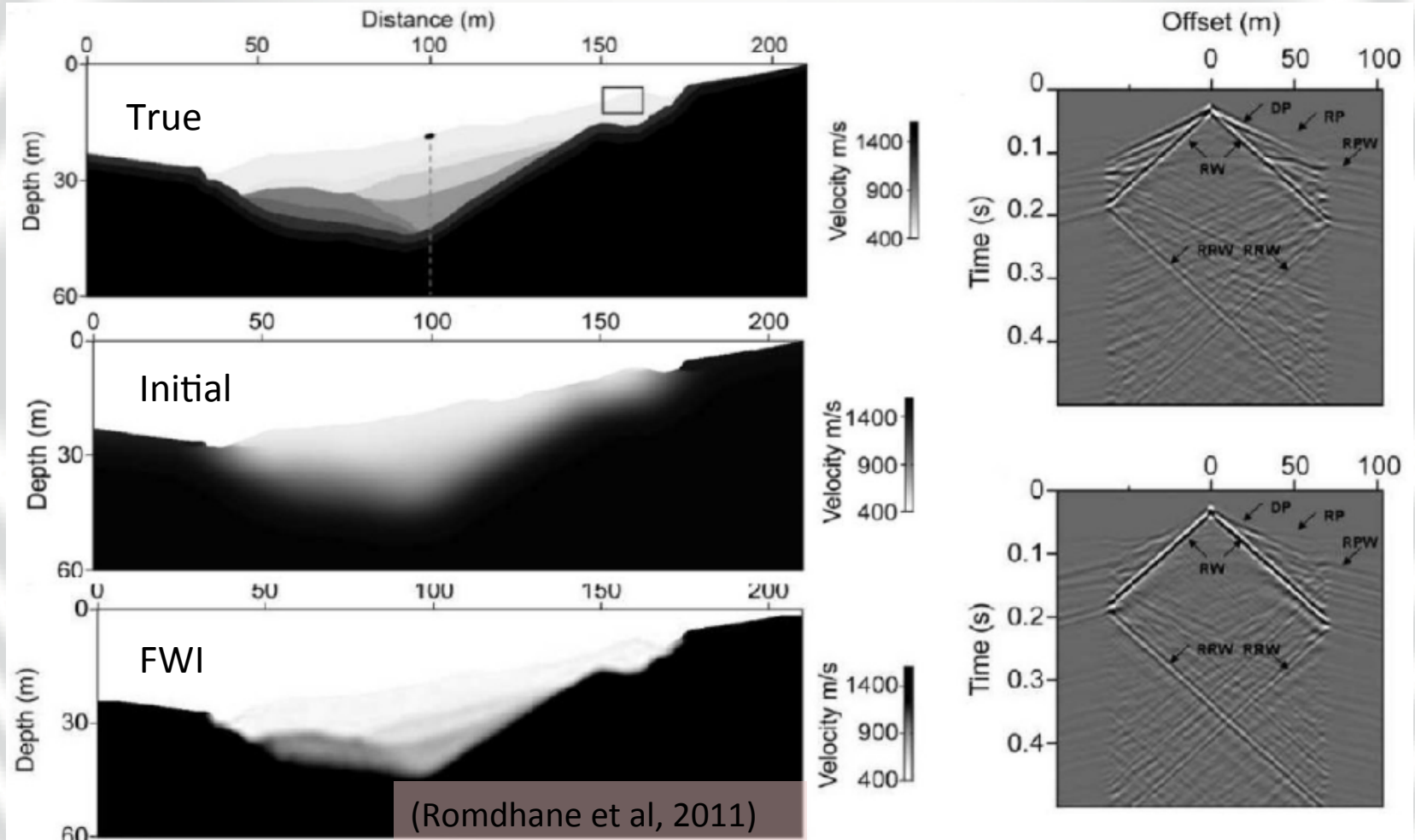
(Brossier et al., 2009)

# LABORATORY EXPERIMENTS

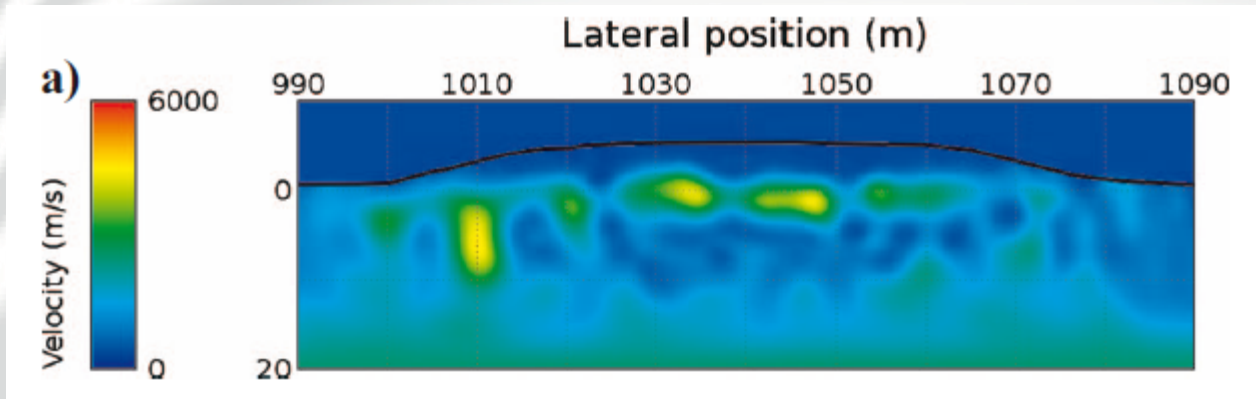
Bretaudeau et al. (2013) exploited the diffraction of surface waves to detect anomalies using lab-scale data



# FWI: Synthetic landslide



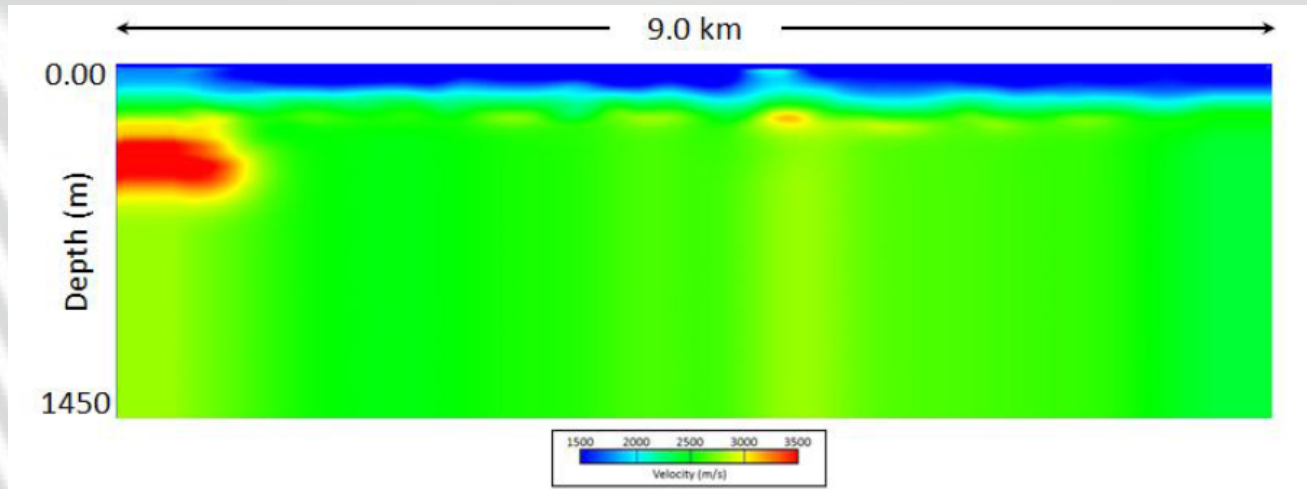
# Real data application



(Smithyman et al, 2009)

Shallow target

Only acoustic ...

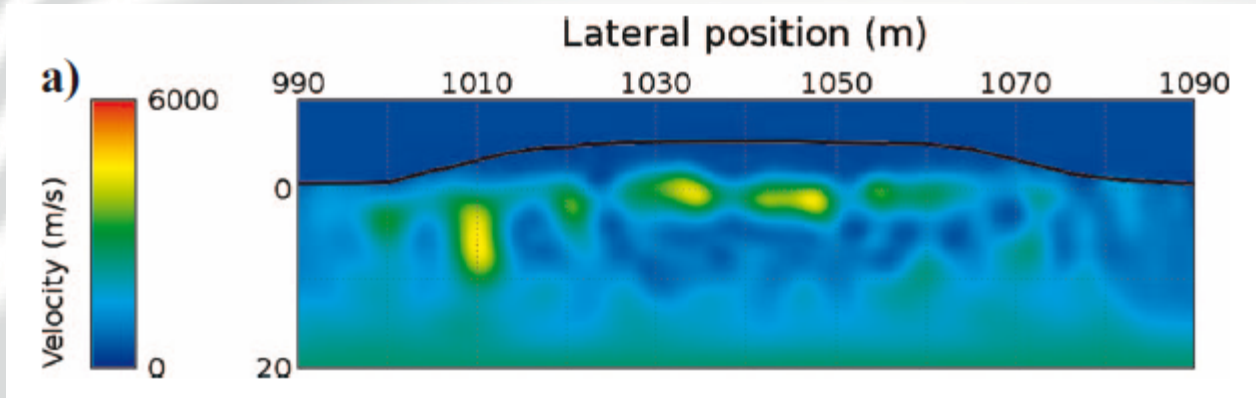


(Tonellot et al, 2013)

Near surface target

Travel time tomography

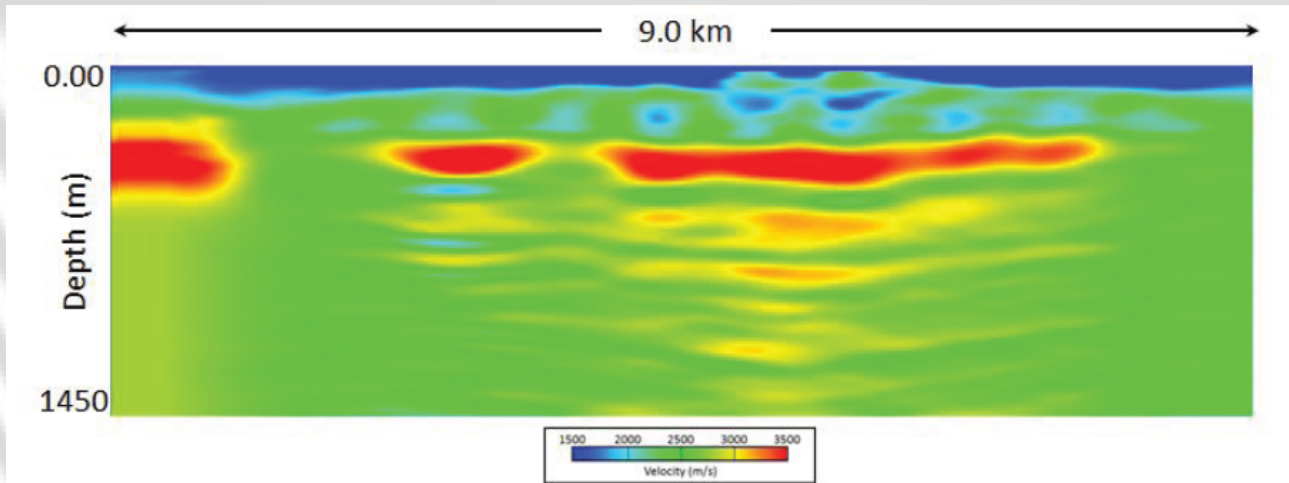
# Real data application



(Smithyman et al, 2009)

Shallow target

Only acoustic ...



(Tonellot et al, 2013)

Near surface target

FWI result

# Why image reconstruction so difficult? Near surface is complex!

Cycle skipping ambiguity

Attenuation

Free surface

Large amplitude variation

but seismic phases are there!



# FWI of SEPARATE PHASES

A more robust FWI formalism is needed when considering near surface seismic data: specific contributions of surface waves, reflection waves aside direct and refracted waves.

→ **find suitable misfit function for each phase (dispersive and non-dispersive)**

→ **Reconstruction of each phase**

- Direct and refracted phases sensitive to velocities
- Reflected phases sensitive to velocities and impedances (density)
- Surface phases sensitive to shear velocity (density&P velocity)

→ **Collaborative reconstruction between phases?**

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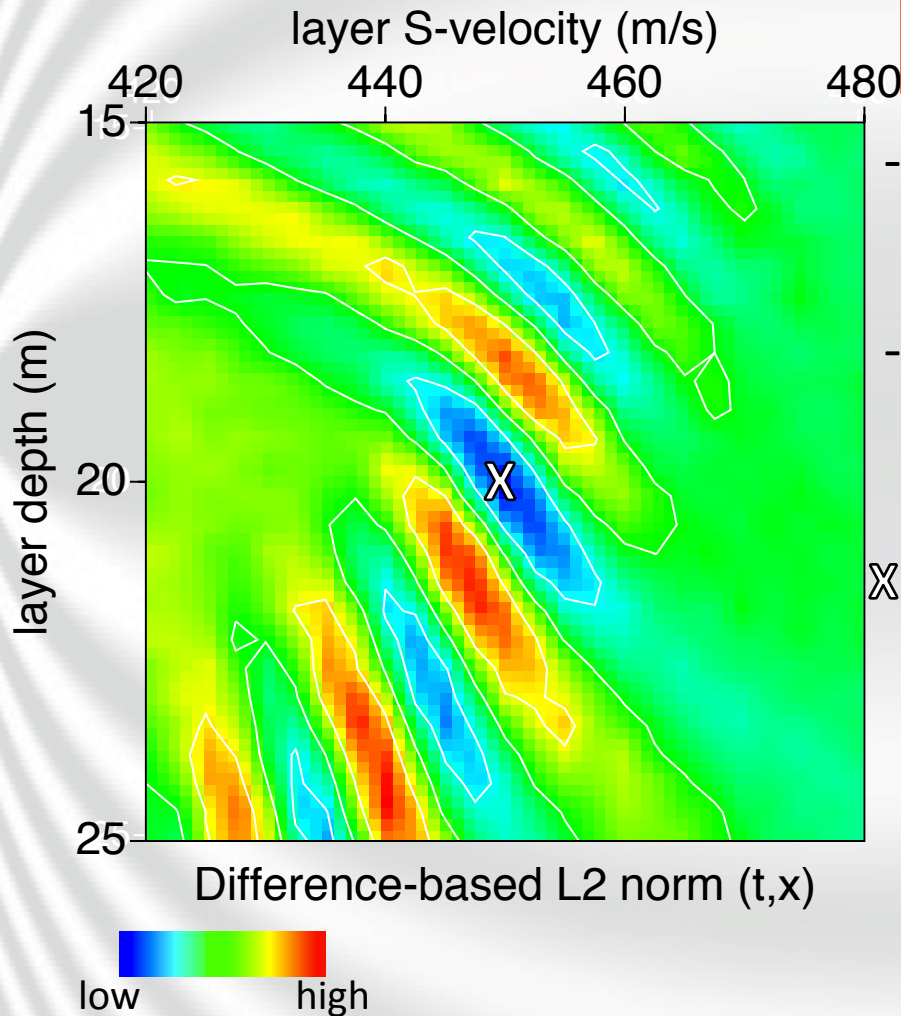
# **FWI of SURFACE WAVES**

From dispersion curve data  
to  
velocity spectrum data

PhD of Isabella Masoni (2015)

(Ryden and Park, 2006; Maraschini and Foti, 2010)

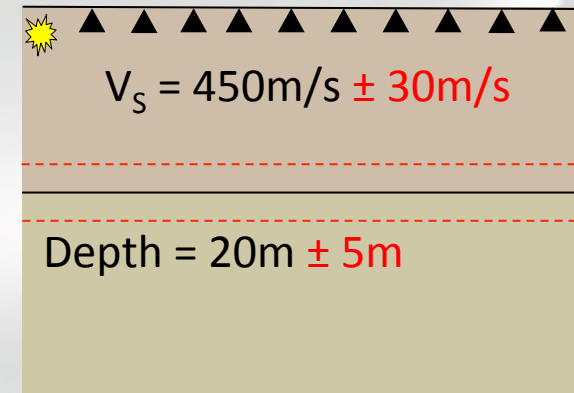
# SURFACE WAVE MISFIT



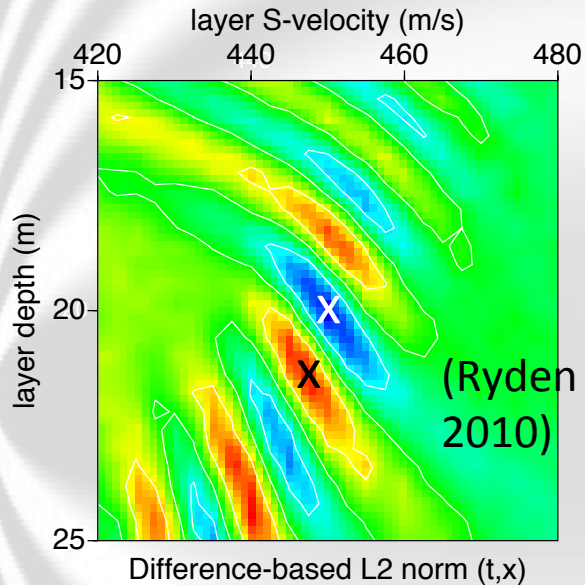
$$C_{diff} = \sum_t \sum_x \frac{1}{2} (d_{obs}(t, x) - d_{cal}(t, x))^2$$

- Narrow valley of attraction and presence of local minima
- Initial velocity model needs to be accurate for convergence

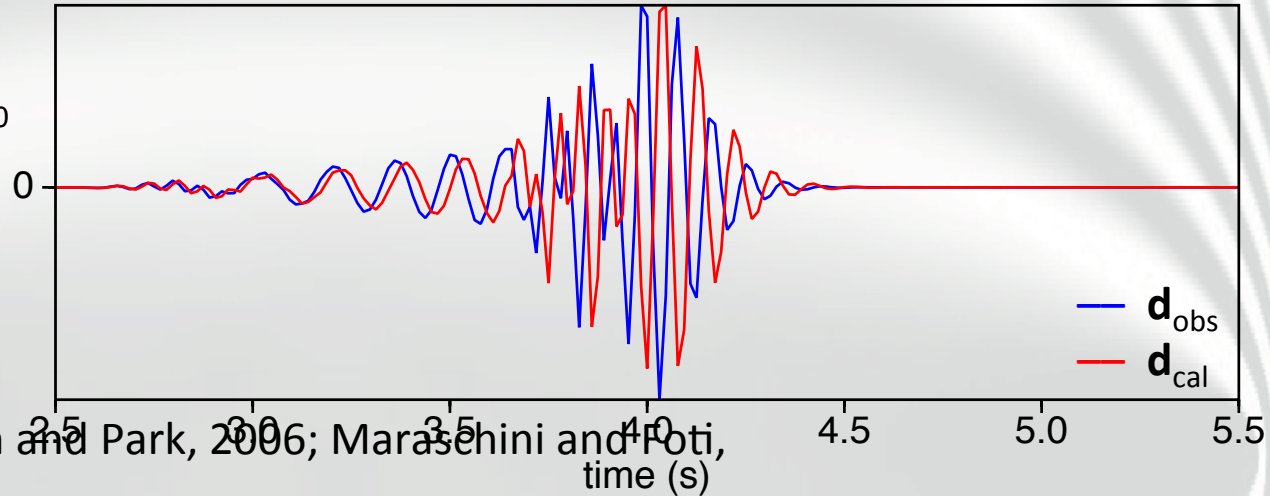
X = zero misfit  
(true model)



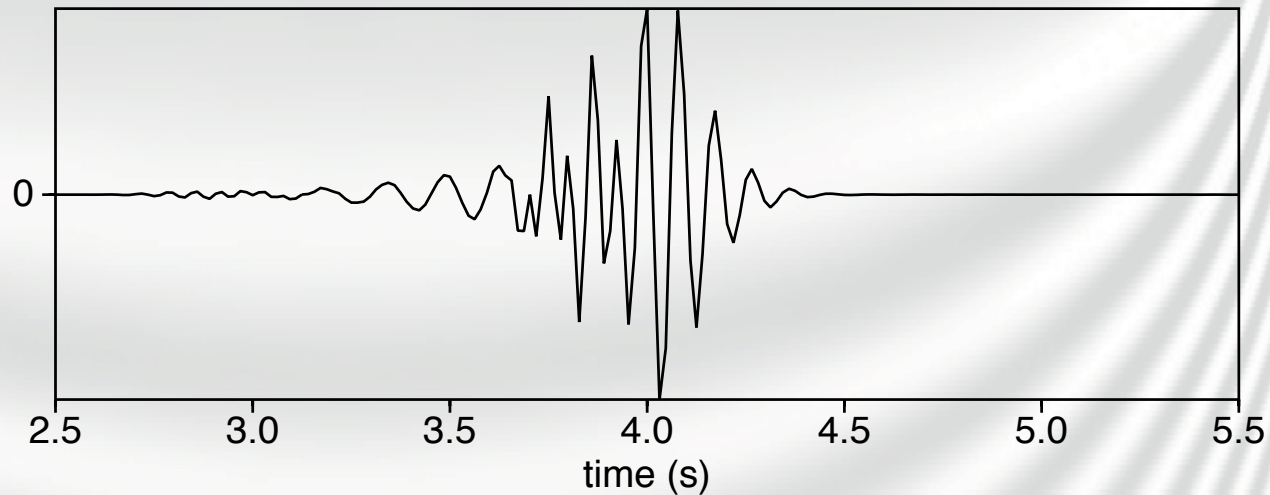
# SURFACE WAVE MISFIT



## Wavelets compared



## Difference



Minimize

$$\mathbf{d}_{\text{obs}}(t) - \mathbf{d}_{\text{cal}}(t)$$

# ALTERNATIVE DATA DOMAINS AND MISFIT FUNCTIONS

Classical difference-based  
misfit function in t-x domain

$$C = \frac{1}{2} (\mathbf{d}_{\text{obs}}(x, t) - \mathbf{d}_{\text{cal}}(x, t))^2$$



General formulation for  
alternative data domain

$$C = \frac{1}{2} (T(\mathbf{d}_{\text{obs}}(x, t)) - T(\mathbf{d}_{\text{cal}}(x, t)))^2$$

Data transformed to  
another data domain  
by operator T

tau-p domain

$$C = \frac{1}{2} (\mathbf{d}_{\text{obs}}(\tau, p) - \mathbf{d}_{\text{cal}}(\tau, p))^2$$

LMO transform applied

Omega-p domain

$$C = \frac{1}{2} (|\mathbf{d}_{\text{obs}}(\omega, p)| - |\mathbf{d}_{\text{cal}}(\omega, p)|)^2$$

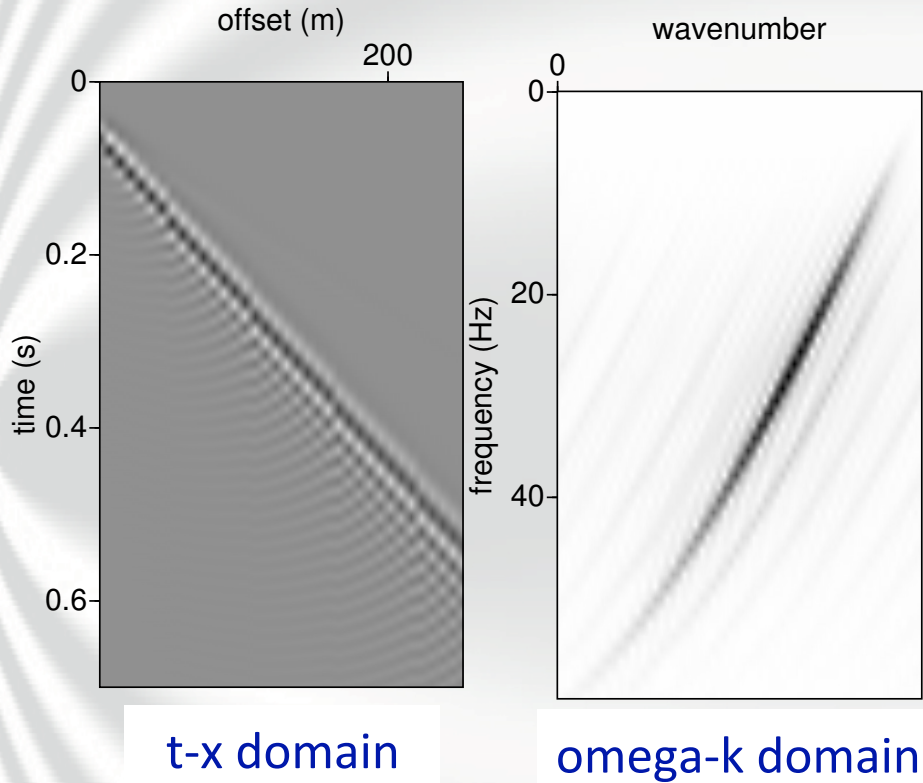
LMO transform and temporal  
Fourier transform applied

Omega-k domain

$$C = \frac{1}{2} (|\mathbf{d}_{\text{obs}}(\omega, k)| - |\mathbf{d}_{\text{cal}}(\omega, k)|)^2$$

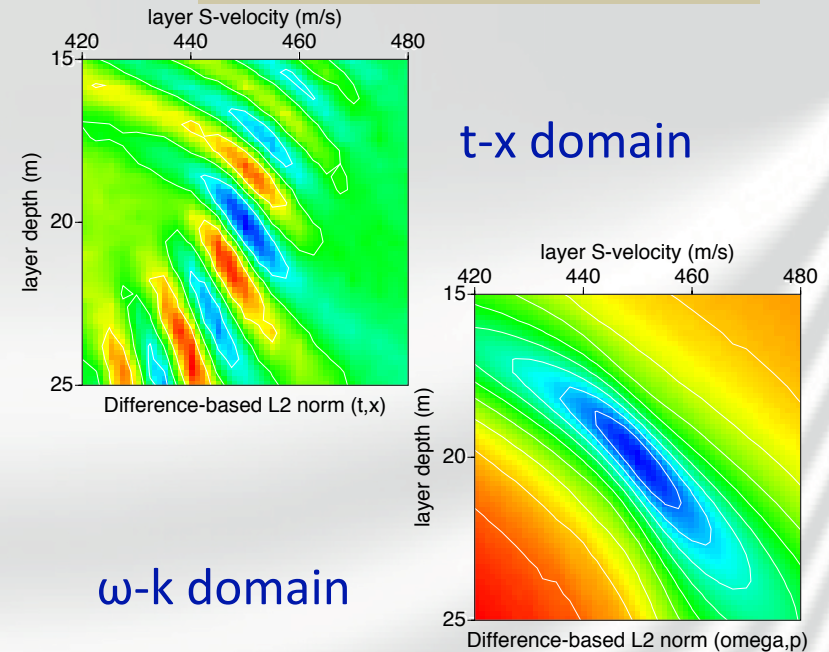
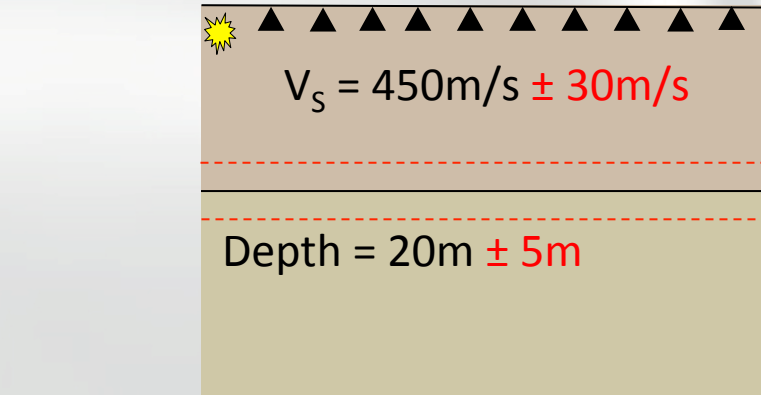
Temporal Fourier transform and  
spatial Fourier transform applied

# ALTERNATIVE DATA DOMAINS AND MISFIT FUNCTIONS



Move to domains and misfits  
where local coherence is  
important

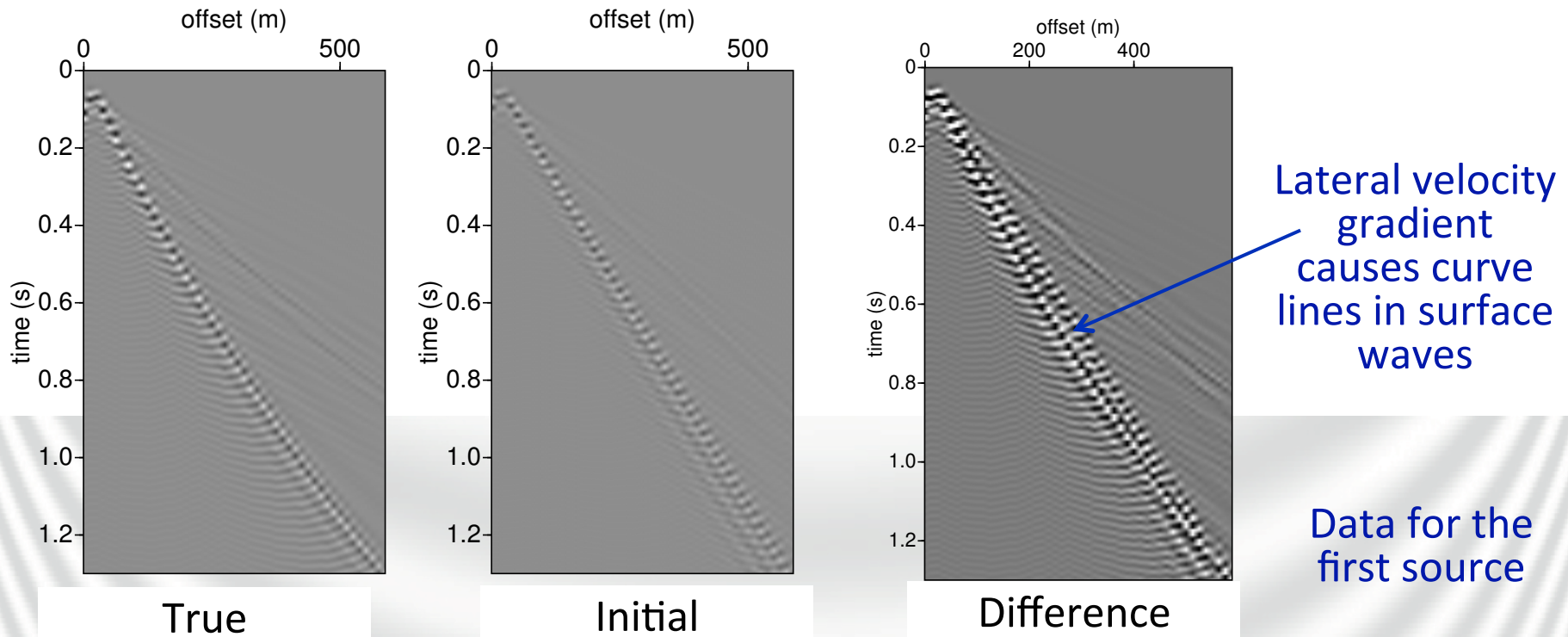
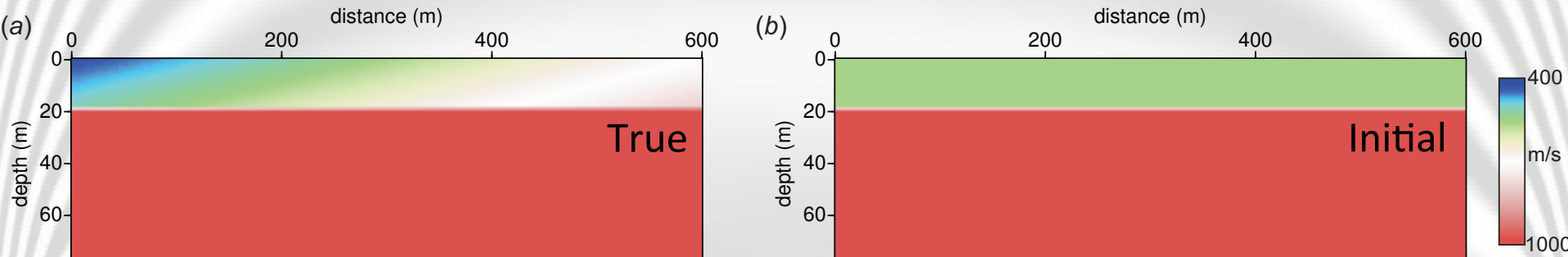
06/05/2014



Rose meeting - Trondheim

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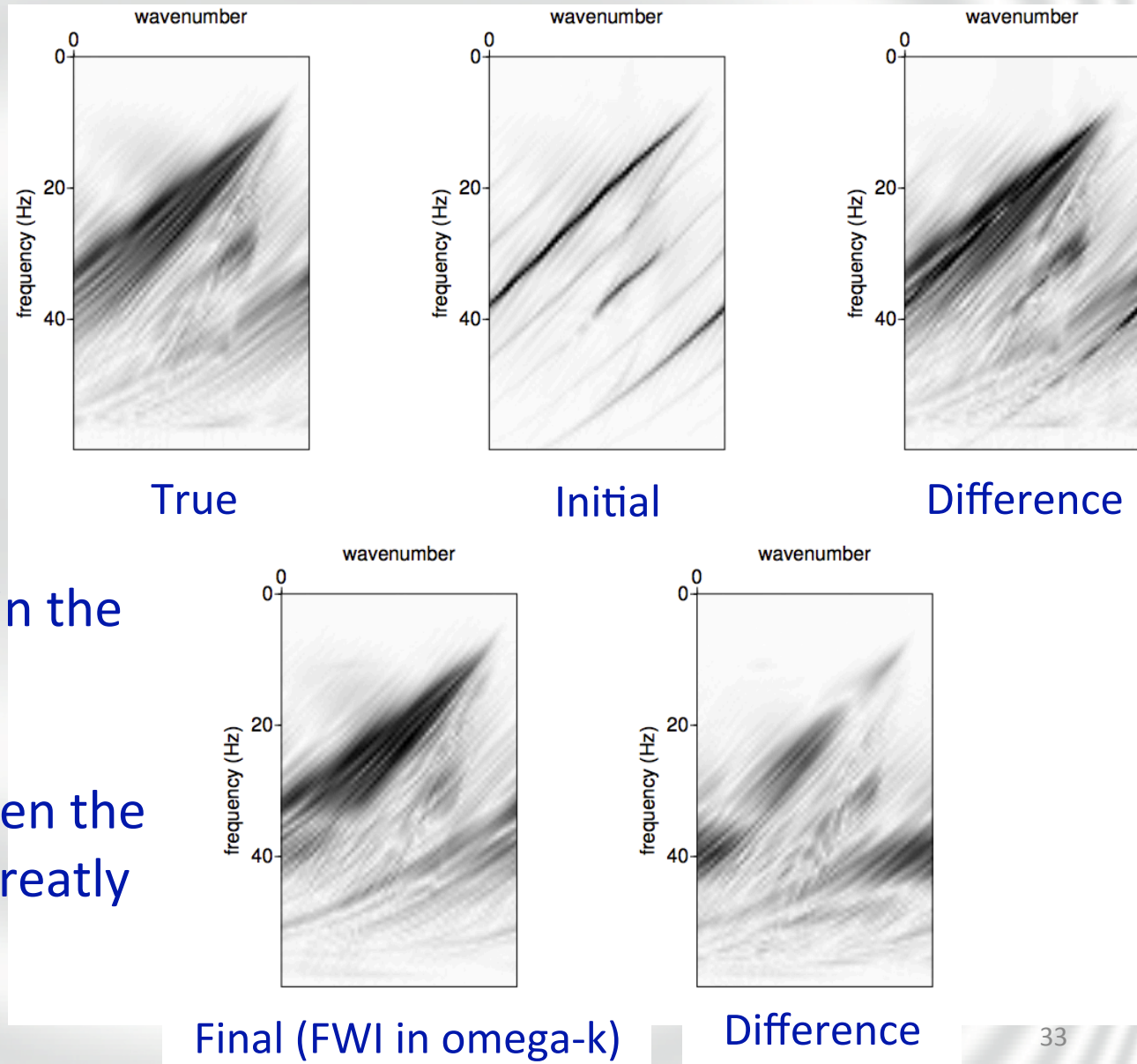
# INVERSION OF VS ONLY





# DATA USED IN FWI

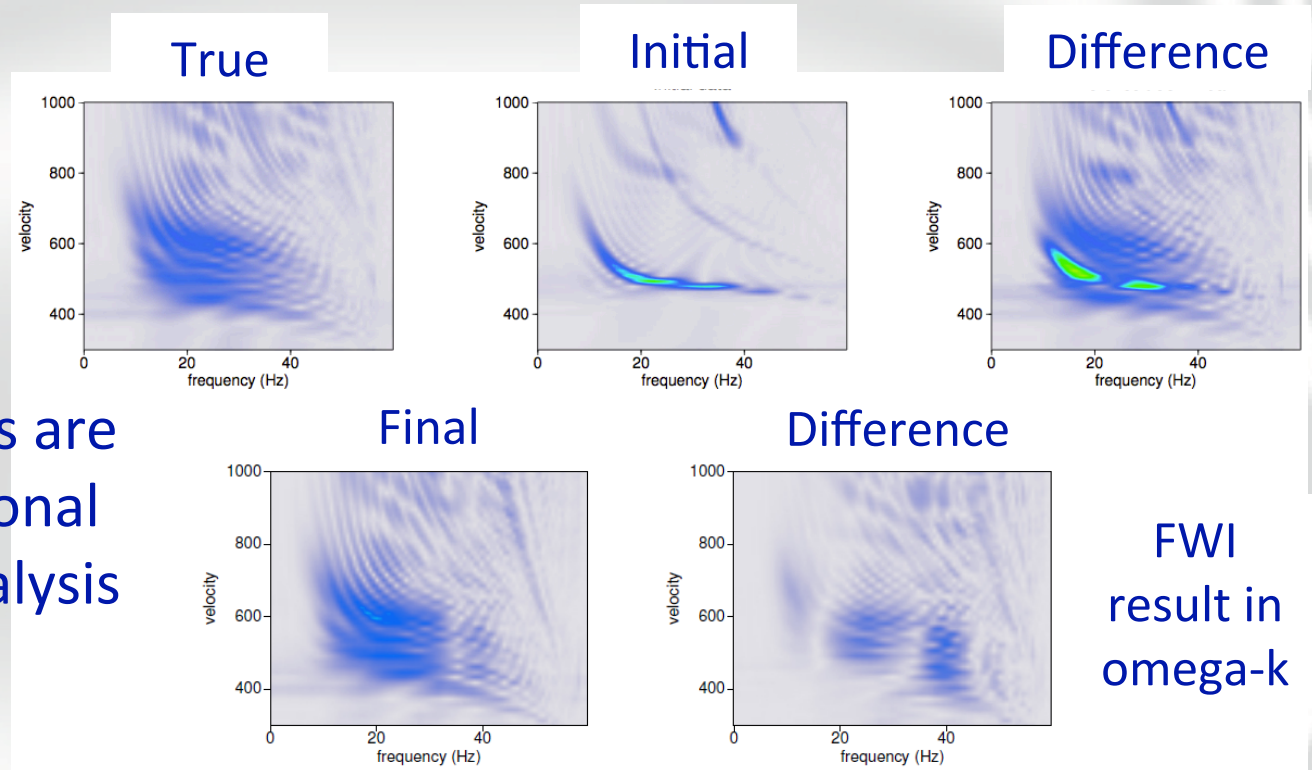
- Data in the omega-k domain



- Inversion moves in the right direction
- Difference between the true and final is greatly reduced

# LOOKING AT THE DISPERSION CURVES

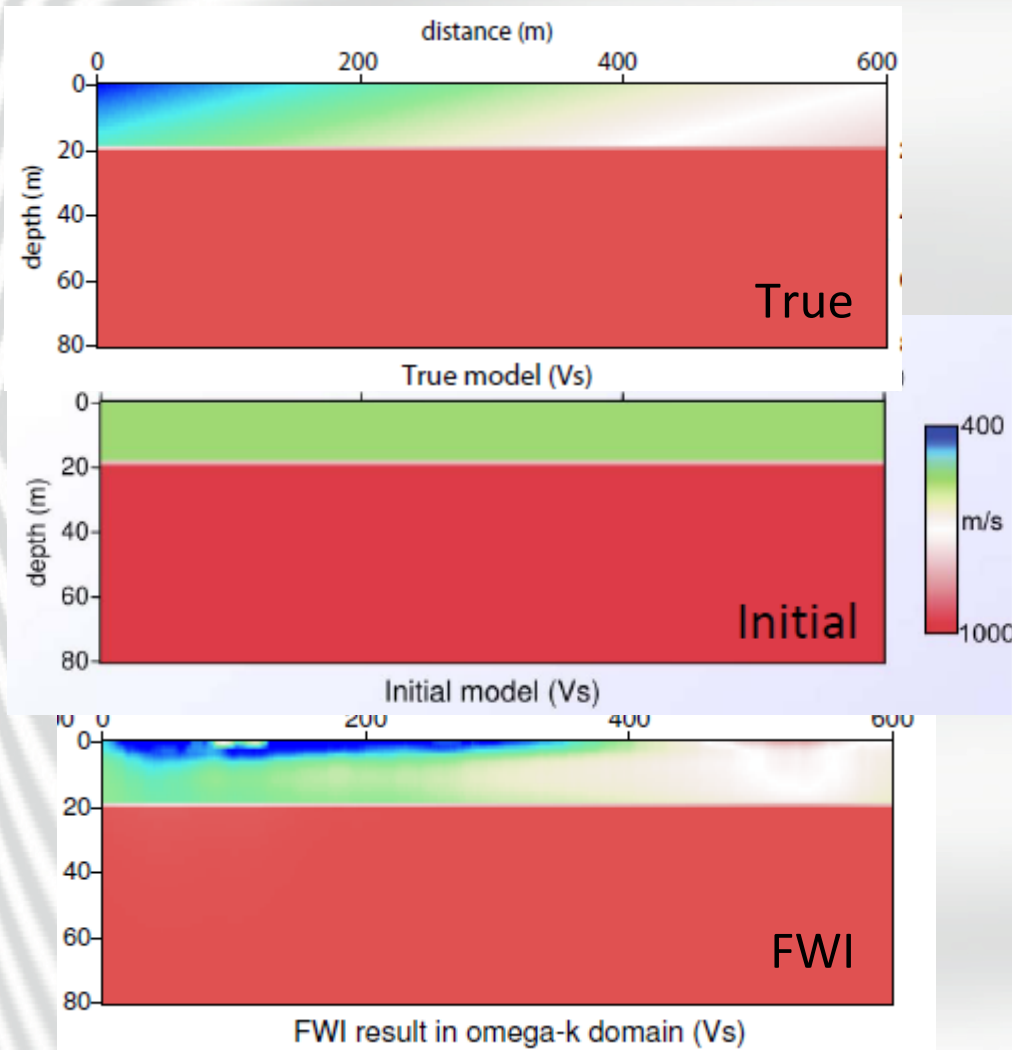
Dispersion curves are used in conventional surface wave analysis



We could extract more information beyond dispersion curve analysis in the framework of FWI

(Ryden and Park, 2006; Maraschini and Foti, 2010)

# MODEL UPDATING



Promising results: lateral variations for  $V_s$  parameter are recovered partially

Work in progress

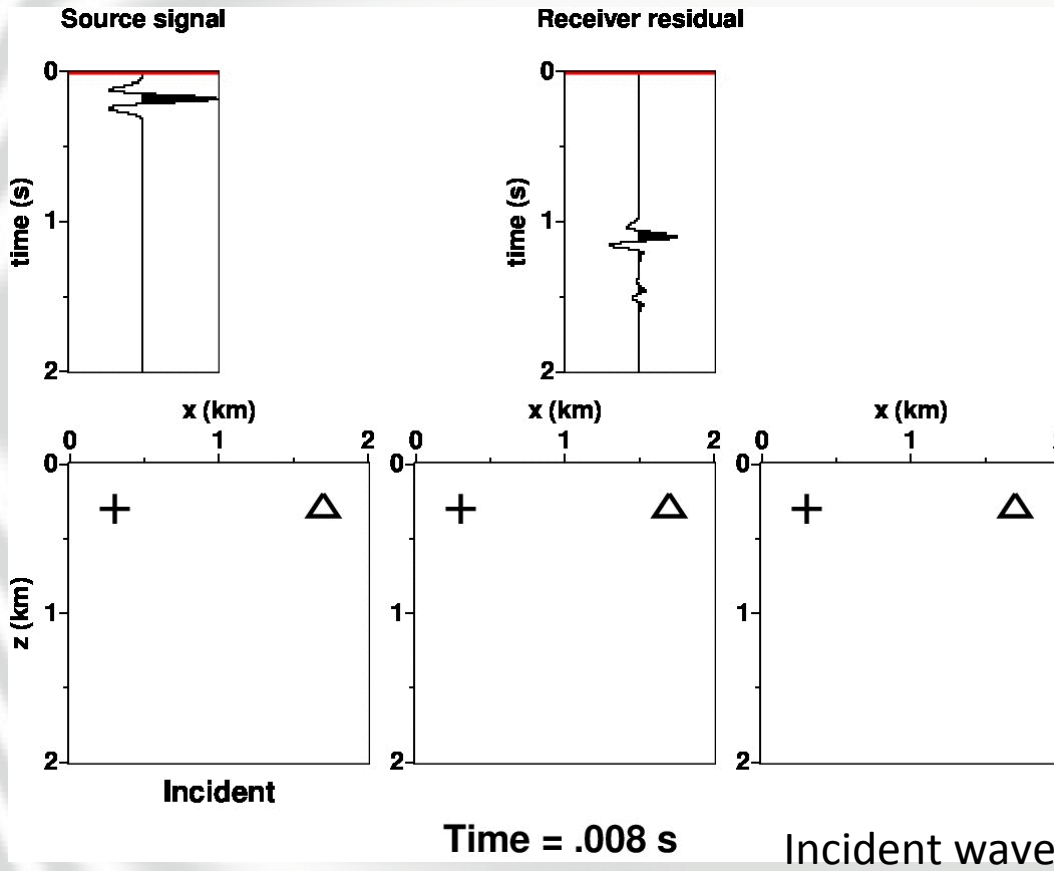
- Starting from MASW initial model
- Inversion from this initial model

(Masoni et al, 2013; Masoni et al, 2014)

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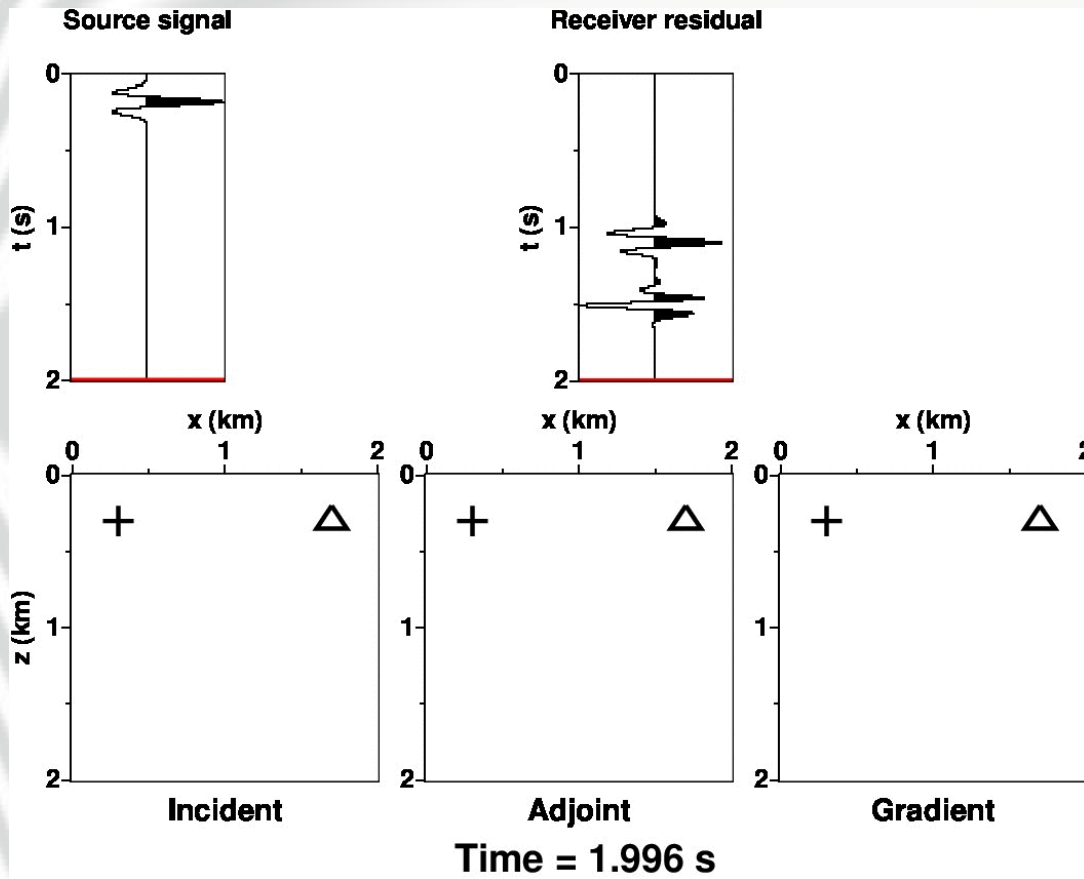
# FWI of BODY WAVES



Considering diving waves and reflected waves information has led to the success of the FWI (no seismic phase identification)

**Needs**  
 Long offsets  
 Low frequency

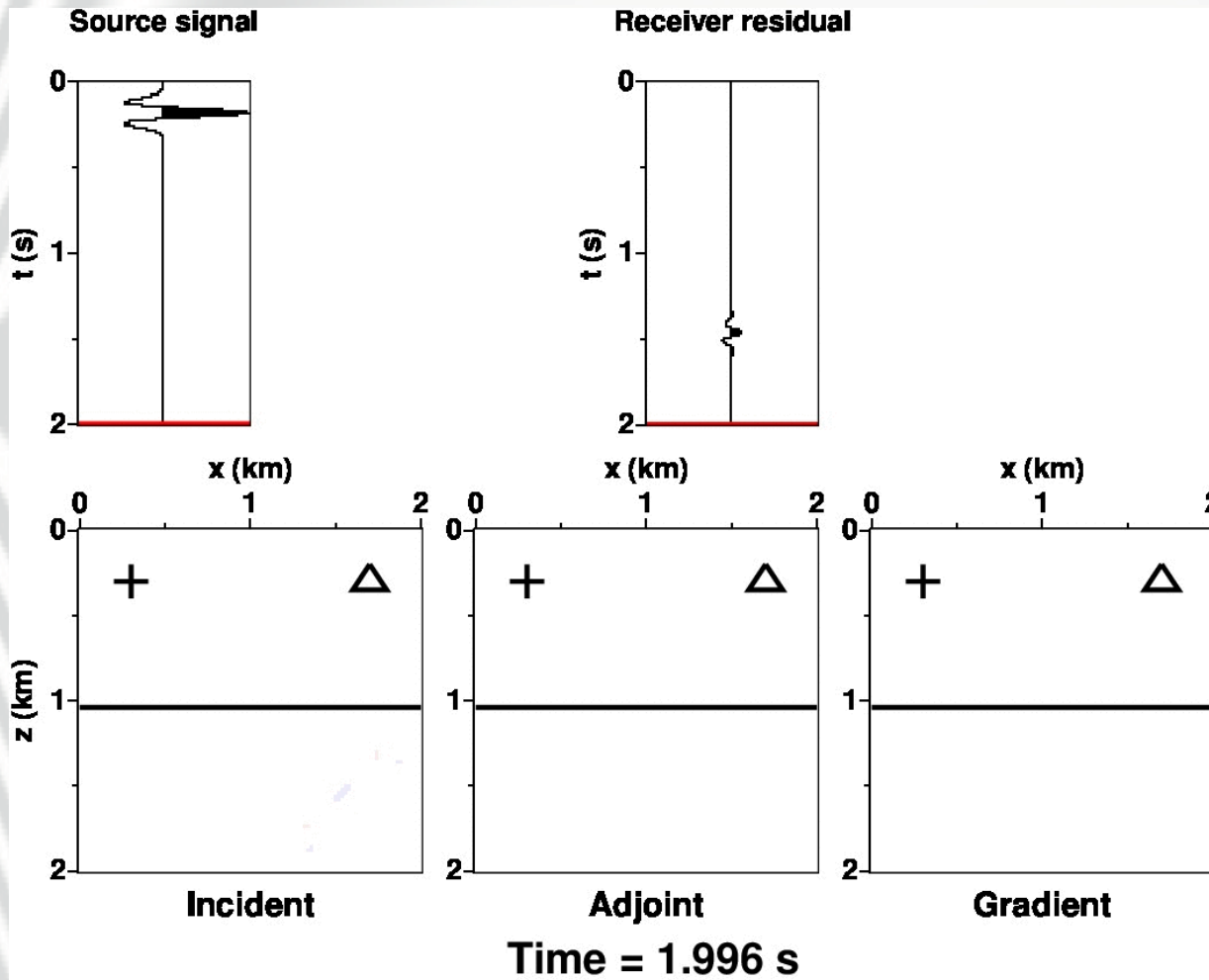
# FWI of BODY WAVES



Gradient field  
connected to  
model update

Direct and reflected waves are interpreted the same way through first-order scattering (FWI)

# FWI of REFLECTED WAVES only

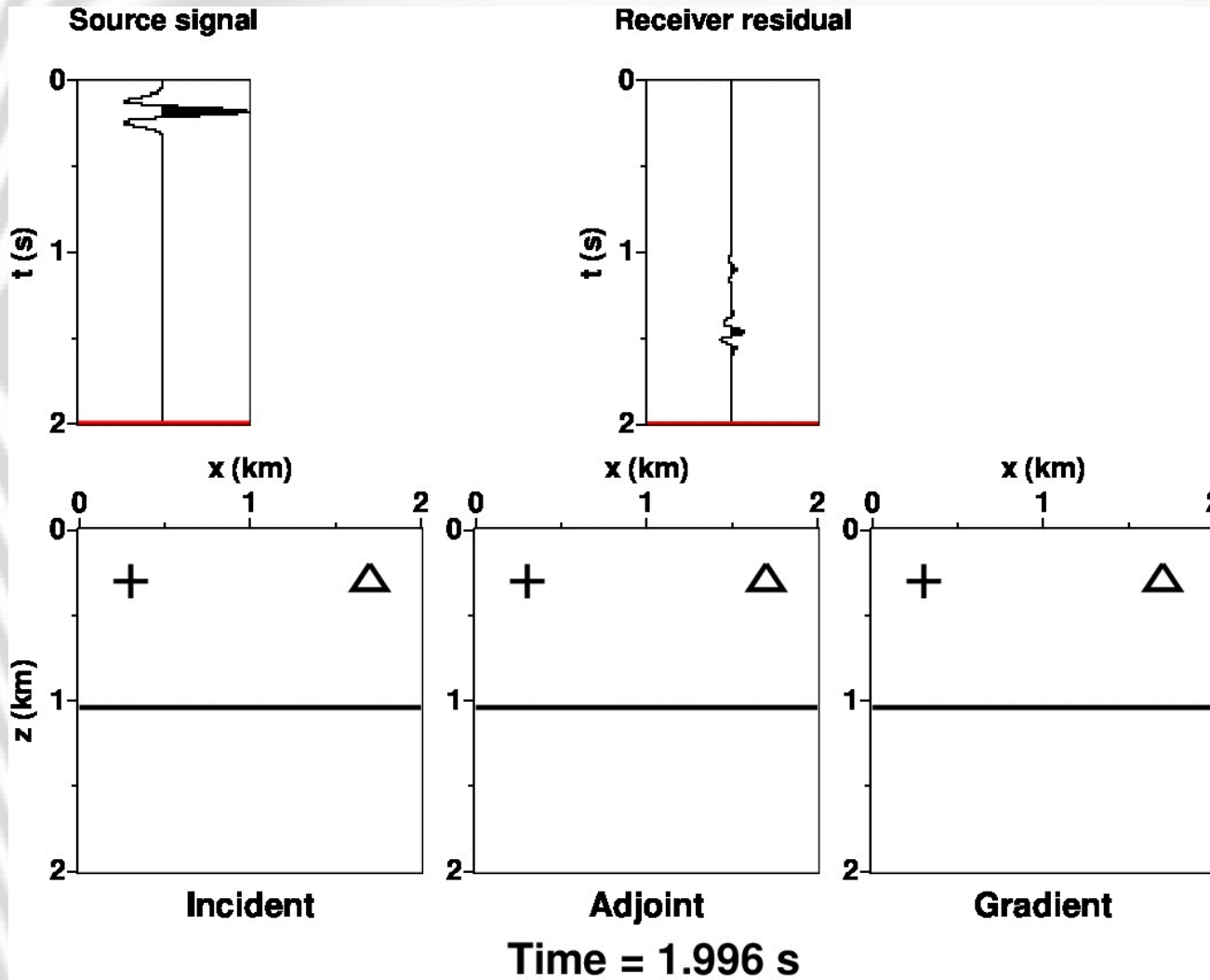


We assume the existence of an interface at the right vertical delay time

The initial model predicts well direct waves ...

So only residual reflected waves are left unexplained for the FWI engine

# MIXING GRADIENTS



We consider both direct and reflected phases residuals: therefore we select an imperfect initial model

Reflected waves contributes to the low frequency content as well as diving waves



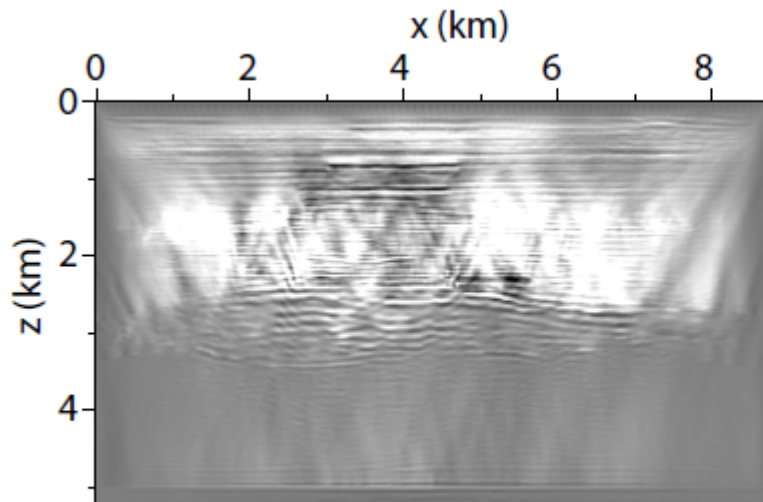
# REALISTIC MEDIA

PhD of Wei Zhou (2015)

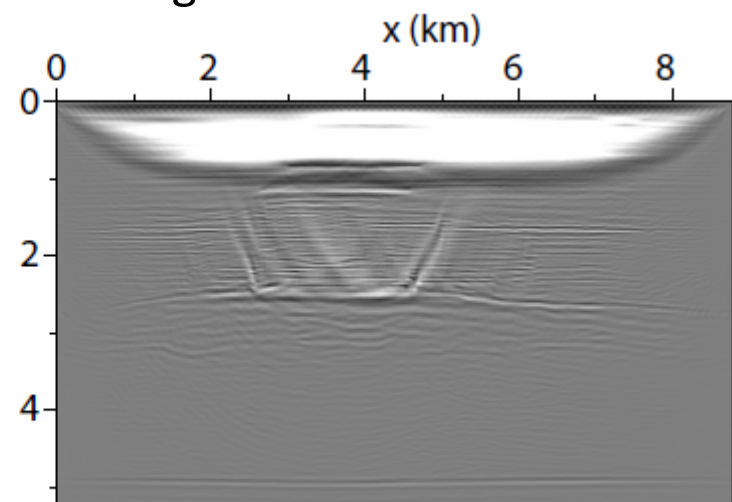
Both diving waves and reflection waves contribute to the low frequency content of the updating

Only diving (direct&refracted) waves contribute to low frequency

Reflection are on the migration regime



New FWI gradient

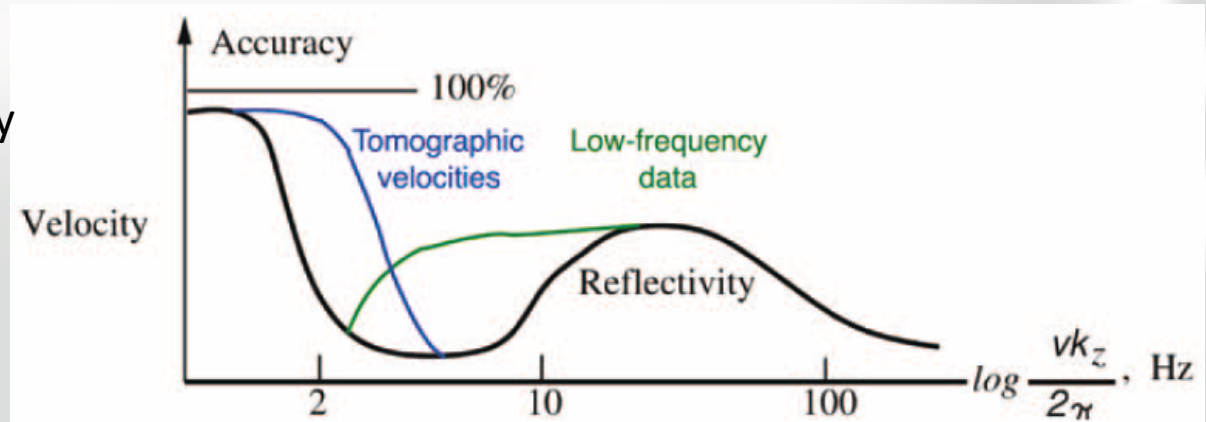


Classical FWI gradient

(Zhou et al, 2014)

# UPDATING MODEL WITH ALL THESE GRADIENTS

From Biondi & Almomin (2013) inspired from early work of Claerbout (1984)



As done for travel-times and phases, we may combine imaging methods now using « full » traces ...

- Direct and refracted phases sensitive to velocities
- Reflected phases sensitive to velocities and impedances (density)
- Surface phases sensitive to shear velocity (density & bulk velocity)

# OUTLINE

- Introduction on FWI
- Near surface seismic imaging issues
- Surface wave analysis
- Body wave analysis
  - Direct/refracted waves versus reflection waves
- **Model impacts**
- Conclusion

# DATA-DRIVEN GRADIENT VERSUS MODEL-DRIVEN GRADIENT

We may be still unsuccessful with this pure data-driven approach

We may wish to introduce model prior information

This means just adding a model gradient to the data gradient

*Misfit function*

$$C(m) = \frac{1}{2} \Delta d^T W \Delta d + \frac{1}{2} \lambda \mathbf{1}^T m^T D m + \frac{1}{2} \lambda \mathbf{2} (m - m_{\text{prior}})^T W m$$

Tikhonov model term

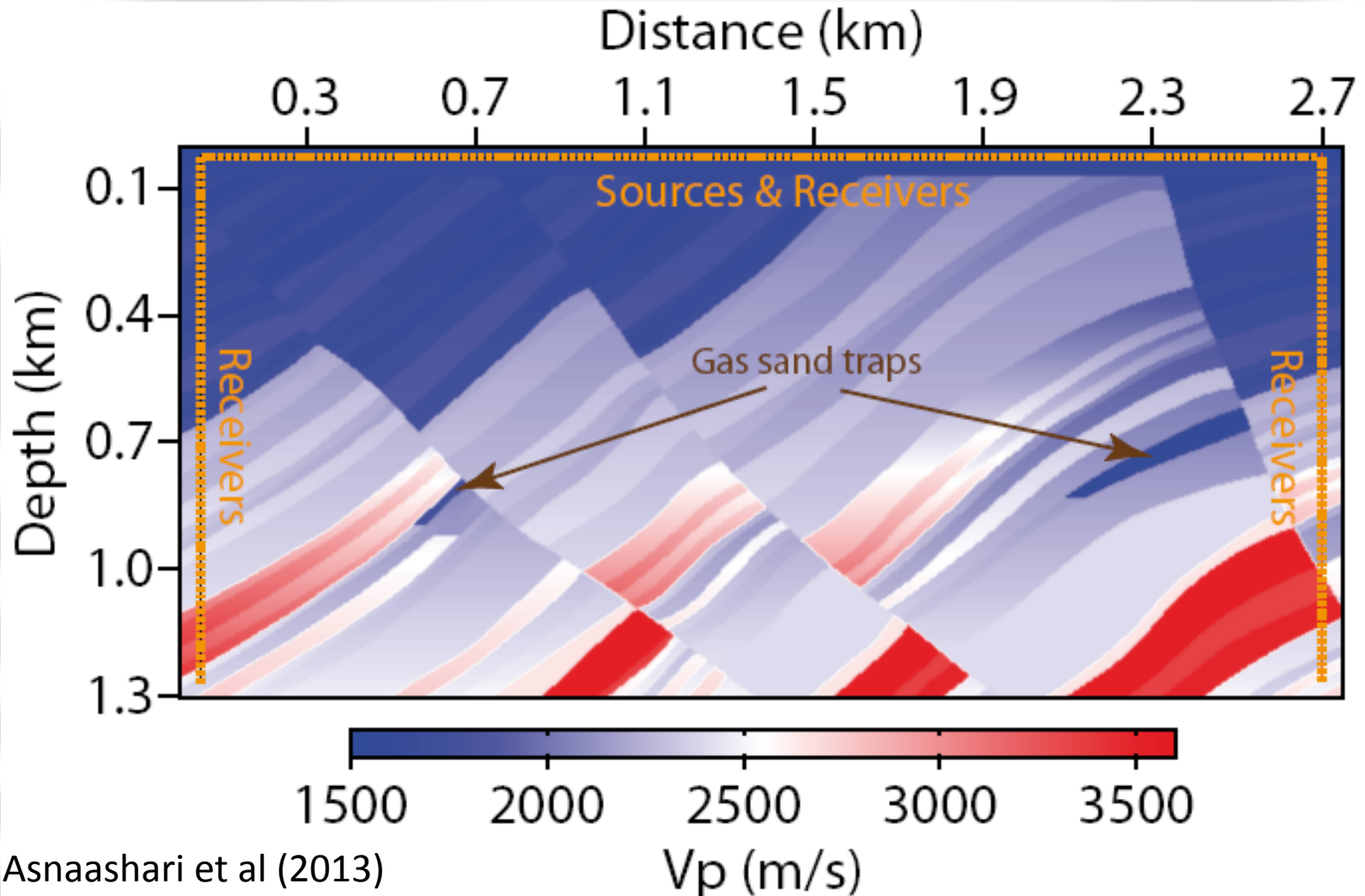
Prior model term

*Gradient*

$$\nabla C_k(m) = J_k^T W \Delta d + \lambda \mathbf{1} D m_k + \lambda \mathbf{2} W m (m_k - m_{\text{prior}})$$

# ZOOM ON THE MARMOUSI II

(Martin et al, 2006)

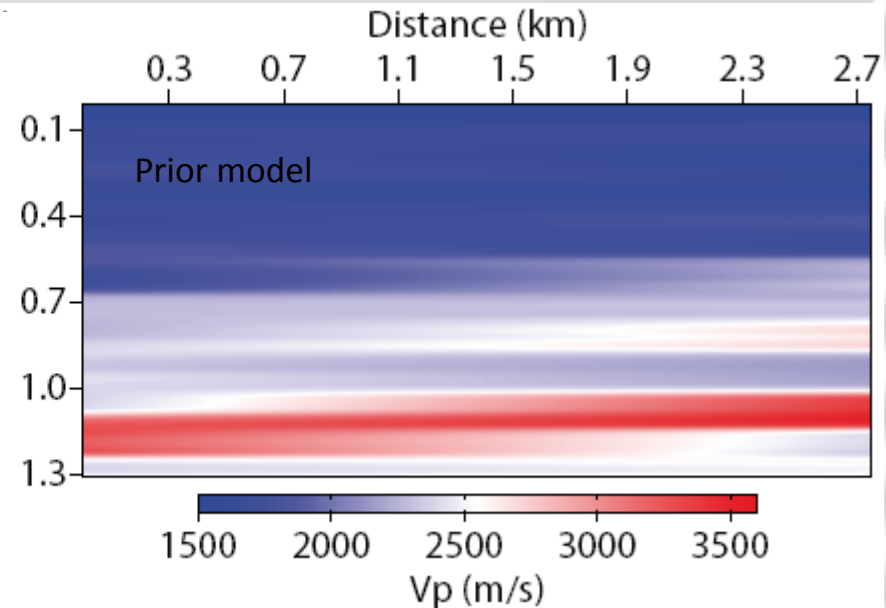
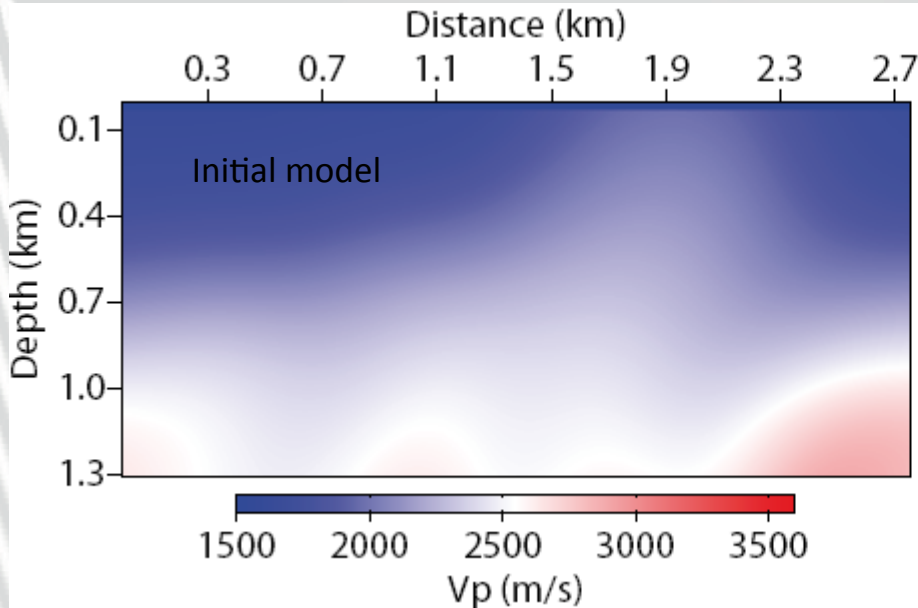


Asnaashari et al (2013)

# INITIAL AND PRIOR MODELS

- Initial model: highly **smoothed** true model
- Prior model: **linear interpolation** of two velocity profiles in wells

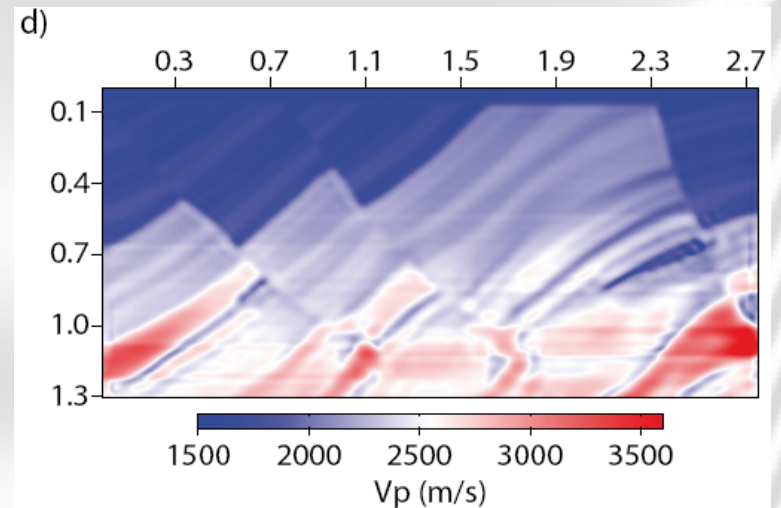
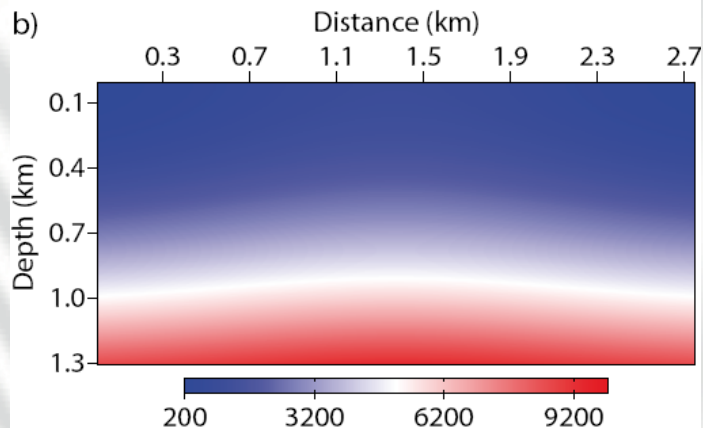
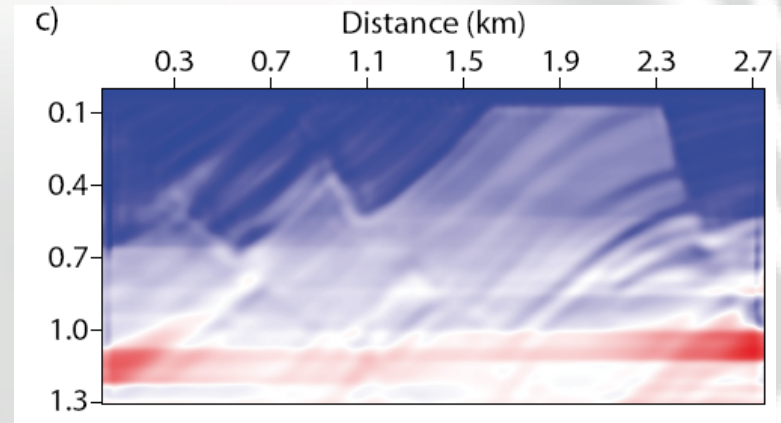
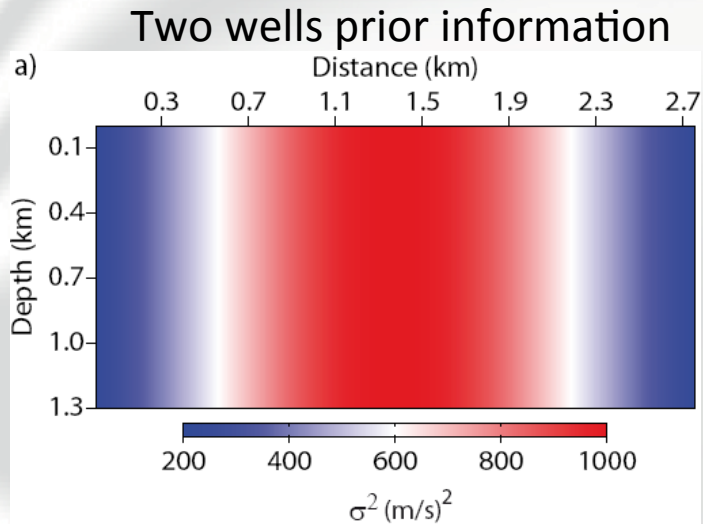
Very small value for  $\lambda \downarrow 1$ , since we want to investigate only the effect of prior model to constrain the inversion



# W<sub>m</sub> DEFINITION

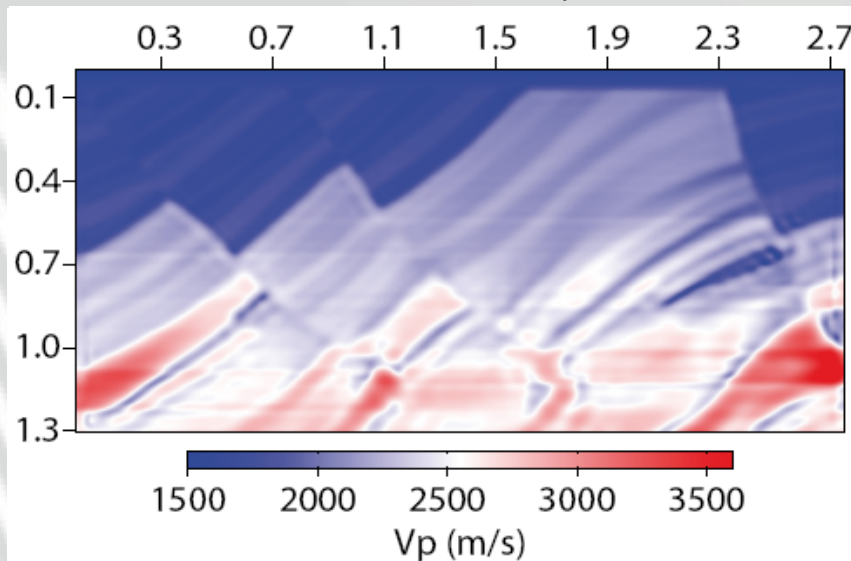
Selected hyper-parameters

$$\lambda \downarrow 1 = 20 \text{ sec} \uparrow 2 \text{ and } \lambda \uparrow 2$$

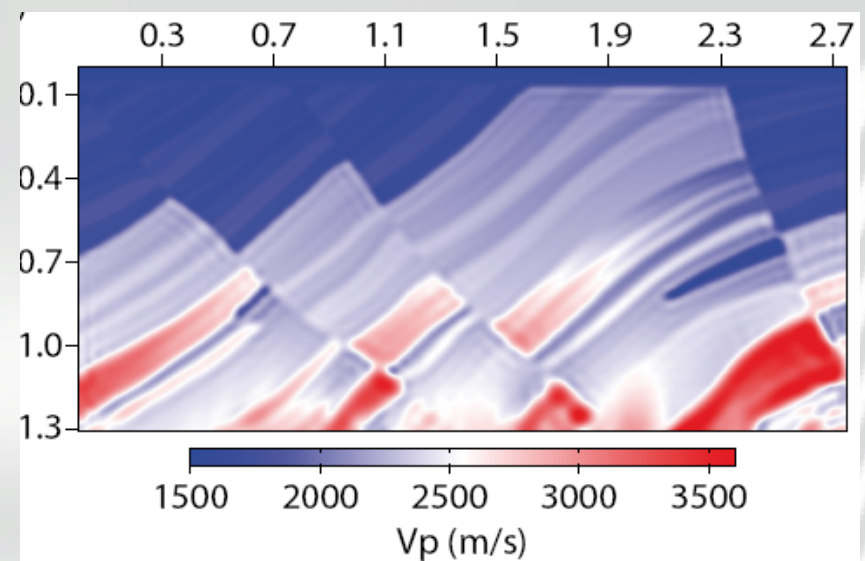


# ONLY DATA SHOULD SPEAK

- In practice, the prior model can be far from reality and also the final FWI model can keep a significant footprint of the prior model structure due to fixed weight on prior term.
- Dynamic prior weighting in order to decrease gradually  $\lambda \downarrow 2$  with iterations, based on derivatives of cost function evolution.



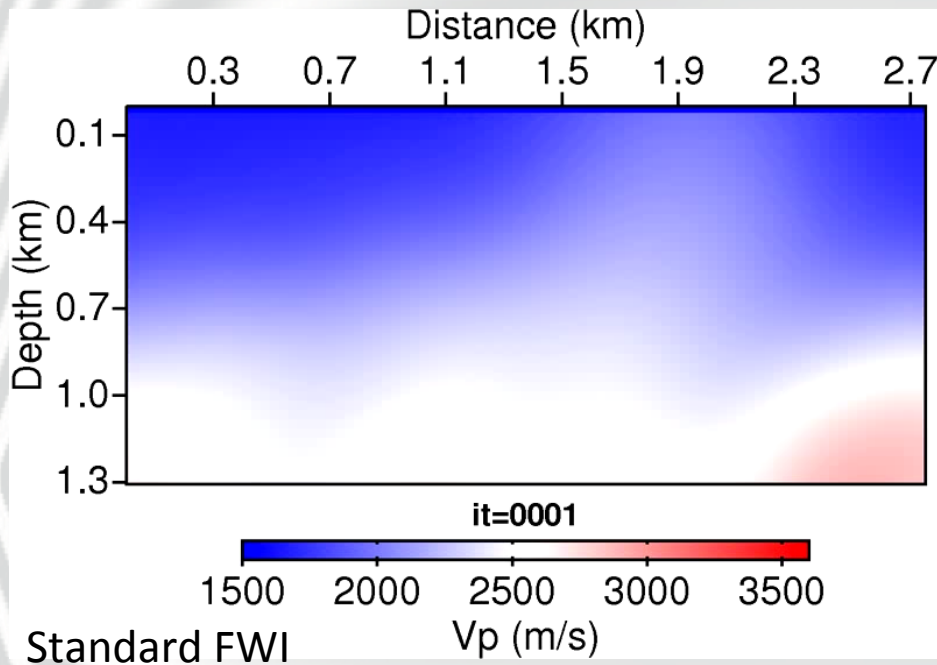
Fixed prior weight



Dynamic prior weight



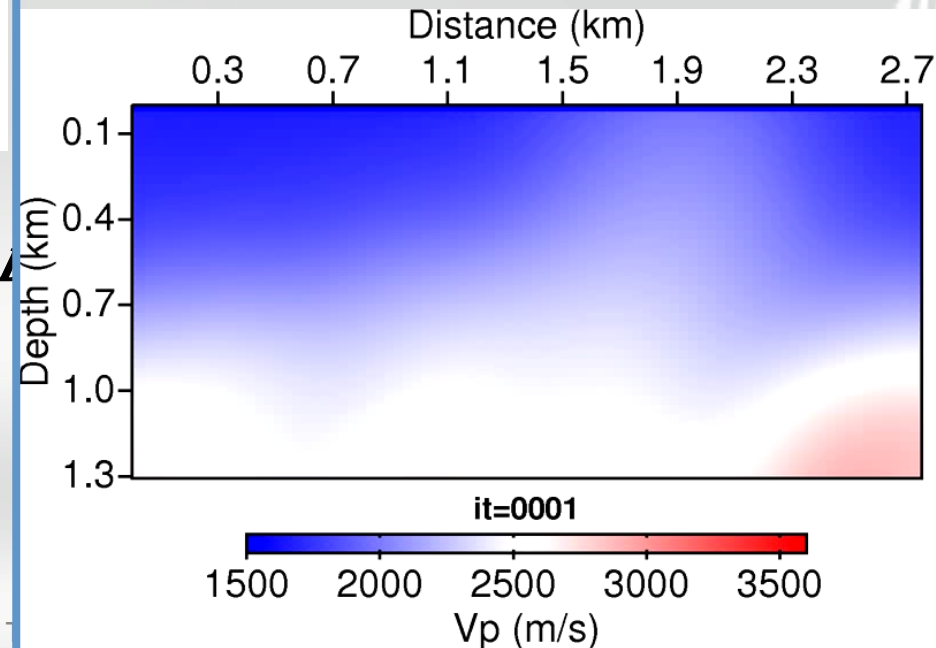
# MODEL-DRIVEN RECONSTRUCTION



Automatic reduction of hyper parameter

$$C(m) = \frac{1}{2} \Delta d^T W \Delta d + \frac{1}{2} \lambda_1 m^T m + \frac{1}{2} \lambda_2 \int (m - m_{\text{prior}})^2$$

Prior model/dynamic weight



$$C(m) = \frac{1}{2} \Delta d^T W \Delta d + \frac{1}{2} \lambda_1 m^T m$$

Data misfit only with simple regularization (Tikhonov term)

# CONCLUSION

Separate phase analysis could increase the low wavenumber part of the velocity spectrum, especially surface waves

Model prior information should also fill in the velocity spectrum and may provide different imprints in the seismic data

New FWI strategies are available for handling the complexity of the near surface

Geology, borehole profiles, constraints on physical properties (density from gravity, for example ...)

Models of near surface structures

- Density
- Anisotropy parameters
- Attenuation parameters
- Elastic parameters

# PERSPECTIVE

Still the demonstration in a real case has to be done (by us?) ...



Isabella Masoni supported by  
TOTAL R&D.

Amir Asnaashari supported by  
TOTAL

Wei Zhou supported by SEISCOPE  
consortium

FWI  $\nearrow$   $\lambda/2$

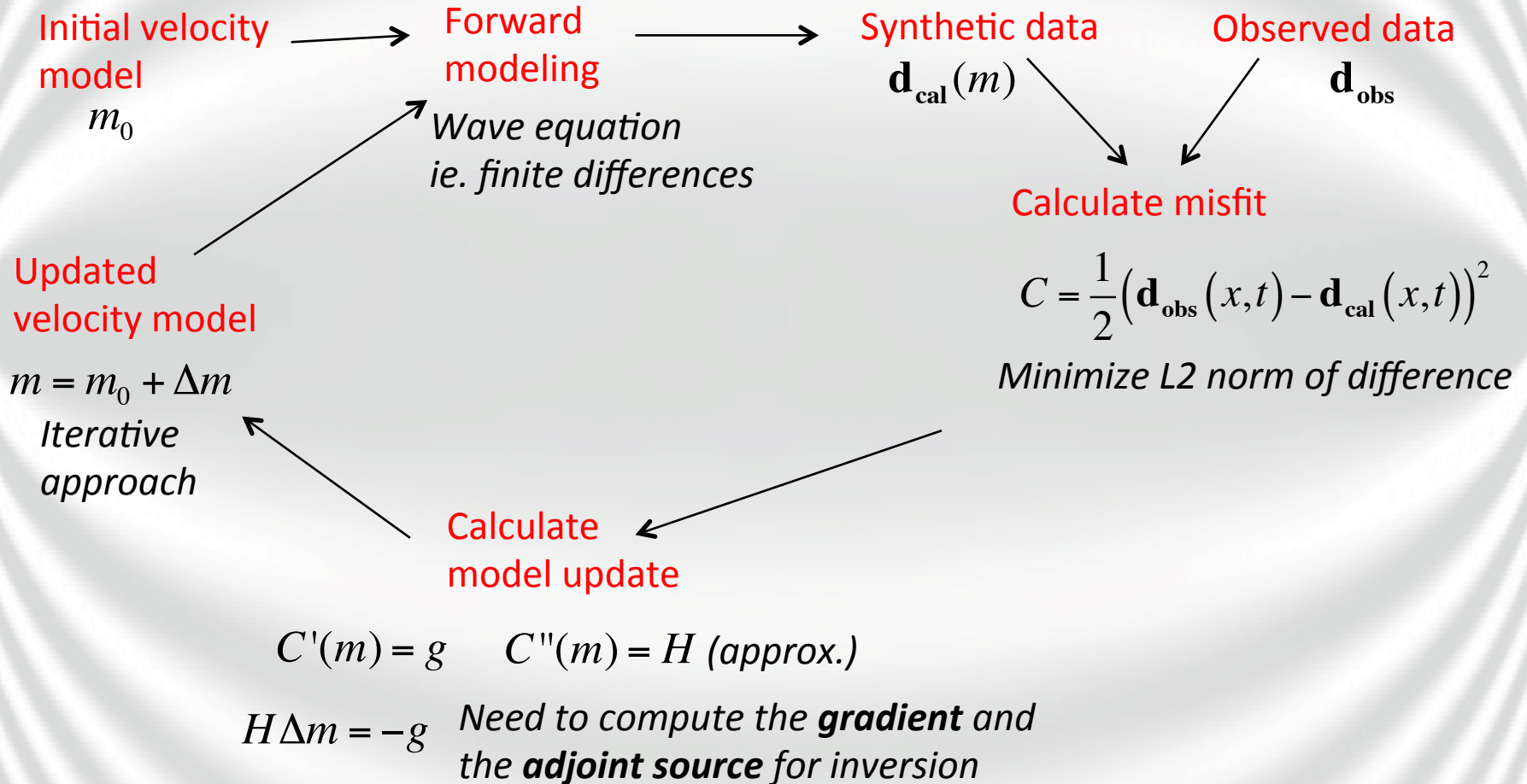
*Thank you very much for your attention*

*Many thanks to sponsors*

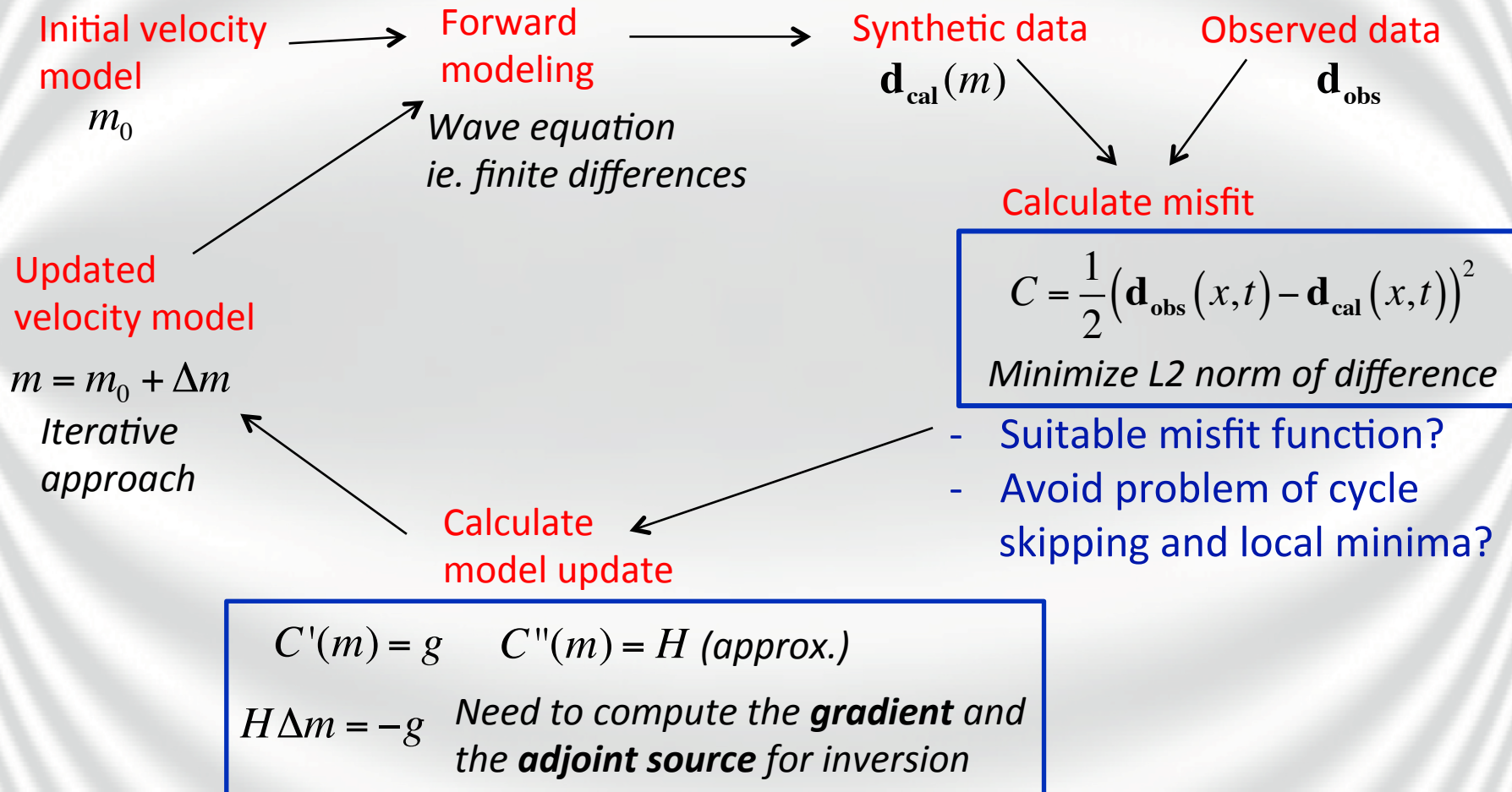


*of the Seiscope consortium*

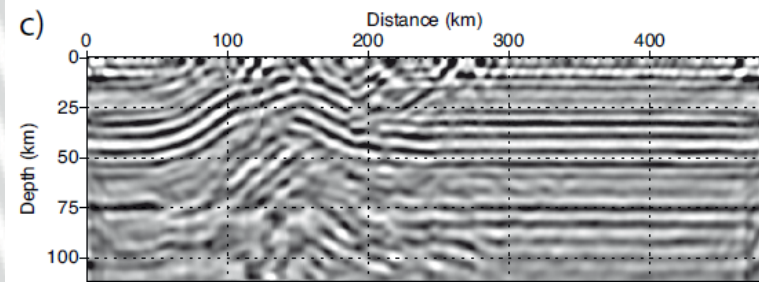
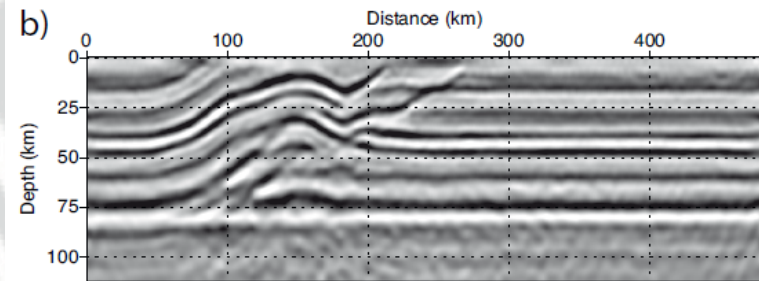
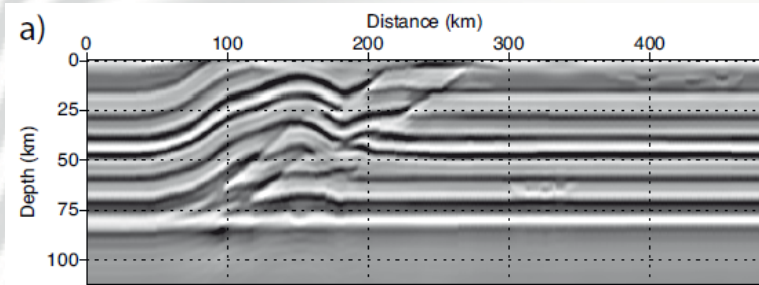
# FWI – CLASSICAL WORKFLOW



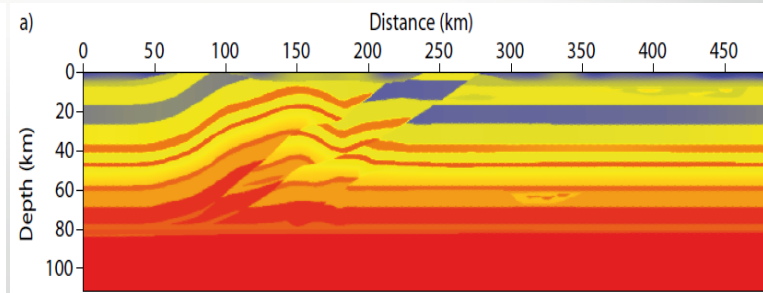
# FWI – CLASSICAL WORKFLOW



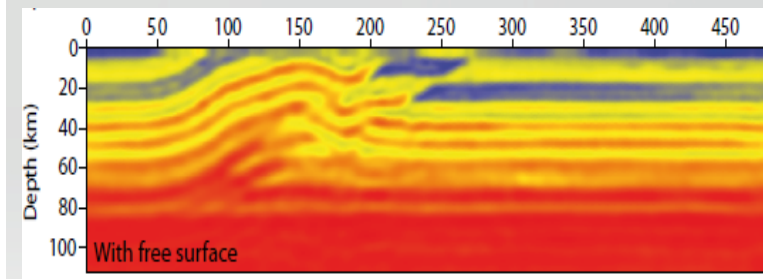
# Macromodel building for improved migration



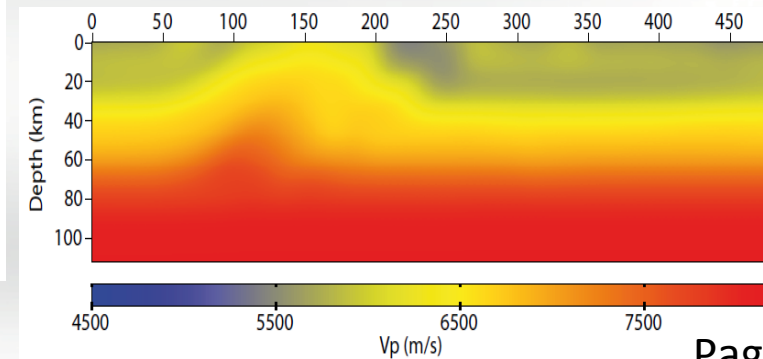
RTM



Exact



FWI



Initial

Pageot et al (2013)

# Separation between two scales

Recorded seismic traces bring different information from medium properties

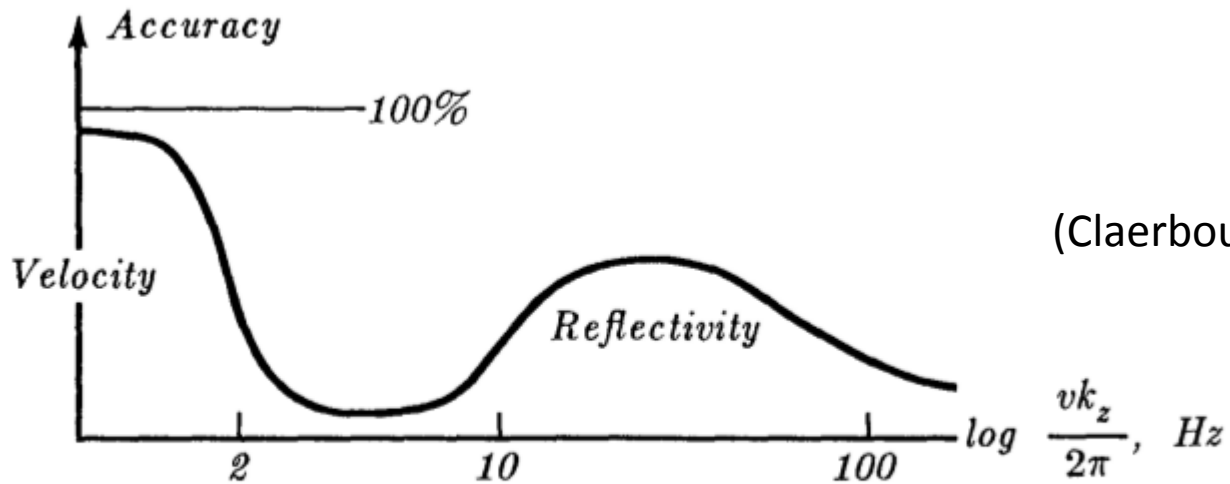


FIG. 1.4-3. Reliability of information obtained from surface seismic measurements.

Macro-model Velocity from low frequency content  
Impedance/Reflectivity from high frequency content

*Various signatures of  
parameters on data*