



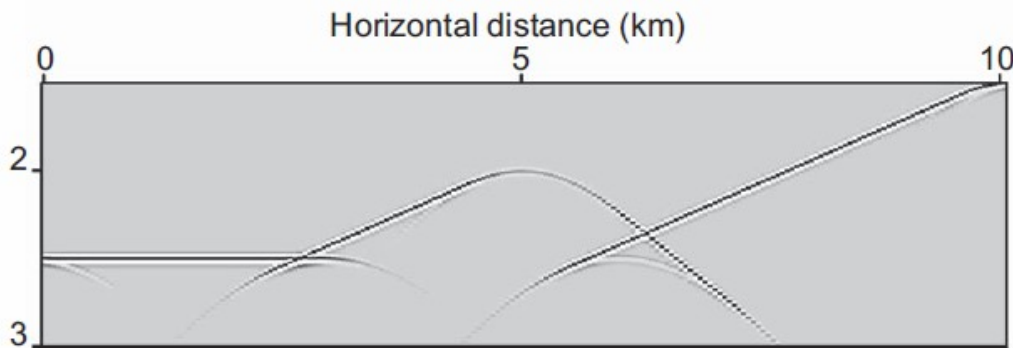
Caustics in a periodically layered VTI medium

Alexey Stovas

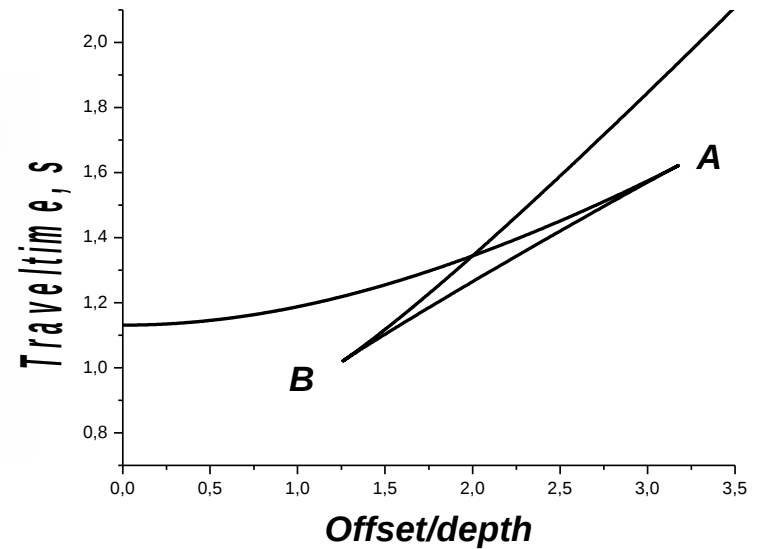
NTNU

Caustics in seismic

P-wave structural caustics



qSV-wave caustics in VTI



Outline

- Wave propagation in a periodically layered VTI medium
- Low- and high-frequency limits
- Dispersion equation analysis
- Computational aspects
- Caustic asymptotical analysis
- Numerics
- Conclusions

Wave propagation in a periodically layered VTI medium

$$\frac{d\mathbf{b}}{dz} = i\omega\mathbf{M}\mathbf{b} \quad \mathbf{b} = (u_z, \sigma_{xz}, \sigma_{zz}, u_x)^T$$

$$\mathbf{M} = \begin{pmatrix} 0 & \mathbf{A} \\ \mathbf{B} & 0 \end{pmatrix}$$

$$\mathbf{A} = \begin{pmatrix} c_{33}^{-1} & pc_{13}c_{33}^{-1} \\ pc_{13}c_{33}^{-1} & \rho - p^2(c_{11} - c_{13}^2c_{33}^{-1}) \end{pmatrix}$$

$$\mathbf{B} = \begin{pmatrix} \rho & p \\ p & c_{44} \end{pmatrix}$$

Wave propagation in a periodically layered VTI medium

Dispersion equation

$$\det(\mathbf{P} - \exp(i\omega H \boldsymbol{\theta}) \mathbf{I}) = 0$$

Propagator matrix

$$\mathbf{P} = \exp(i\omega h_N \mathbf{M}_N) \dots \exp(i\omega h_1 \mathbf{M}_1)$$

Effective vertical slowness

$$\boldsymbol{\theta} = \boldsymbol{\theta}(p, \omega)$$

$\text{Re } \boldsymbol{\theta} = q$ Vertical slowness of the envelope

$\text{Im } \boldsymbol{\theta} = \gamma$ Attenuation due to scattering

Low-frequency limit

Low-frequency limit

$$\mathbf{P} = \exp\left(i\omega H \mathbf{M}(\omega)\right)$$

$$\mathbf{M}(\omega) = \frac{1}{H} \sum_k h_k \mathbf{M}_k + \frac{i\omega}{2H^2} \sum_{k>l} h_k h_l (\mathbf{M}_k \mathbf{M}_l - \mathbf{M}_l \mathbf{M}_k) + o(\omega)$$

$$\mathbf{M}(0) = \frac{1}{H} \sum_k h_k \mathbf{M}_k$$

(Backus averaging)

High-frequency limit

Single mode propagator matrix

$$\mathbf{Q} = \exp(i\omega h_N \mathbf{F}_N) \dots \exp(i\omega h_1 \mathbf{F}_1)$$

$$\mathbf{F}_j = q_j^{(\alpha_j)} \mathbf{n}_j^{(\alpha_j)} \mathbf{m}_j^{(\alpha_j)T}$$

$\mathbf{m}_j^{(\alpha_j)T}$ and $\mathbf{n}_j^{(\alpha_j)}$ are the left- and right-hand-side eigen-vectors of matrix \mathbf{M}_j with eigen-value $q_j^{(\alpha_j)}$

$$\begin{aligned} \mathbf{Q} &= \exp(i\omega \sum_j h_j q_j^{(\alpha_j)}) \left(\mathbf{n}_N^{(\alpha_N)} \mathbf{m}_N^{(\alpha_N)T} \dots \mathbf{n}_1^{(\alpha_1)} \mathbf{m}_1^{(\alpha_1)T} \right) \\ &= \exp(i\omega \sum_j h_j q_j^{(\alpha_j)} + \beta) \left(\mathbf{n}_N^{(\alpha_N)} \mathbf{m}_1^{(\alpha_1)T} \right) \end{aligned}$$

$$\beta = \ln \left(\mathbf{m}_N^{(\alpha_N)T} \dots \mathbf{n}_1^{(\alpha_1)} \right)$$

High-frequency limit

Root of dispersion equation

$$\theta = \frac{1}{H} \sum_j h_j q_j^{(\alpha_j)} - \frac{i\beta}{\omega H}$$



$$q/\omega = \frac{1}{H} \sum_j h_j q_j^{(\alpha_j)}$$

Direct single mode wave, no multiples

Dispersion equation analysis

Dispersion equation

$$\det(\mathbf{P} - \chi\mathbf{I}) = 0$$

Propagator blocking

$$\mathbf{P} = \begin{pmatrix} \mathbf{P}_{11} & i\mathbf{P}_{12} \\ i\mathbf{P}_{21} & \mathbf{P}_{22} \end{pmatrix} \quad \det(\mathbf{P}) = 1$$

Dispersion equation analysis

From Schoenberg (1983)

$$\left(x + x^{-1}\right)^2 - a_1 \left(x + x^{-1}\right) + a_2 - 2 = 0$$

$$\cos(\omega H \theta_P) + \cos(\omega H \theta_S) = \frac{1}{2} a_1(p, \omega)$$

$$\cos(\omega H \theta_P) \cos(\omega H \theta_S) = \frac{1}{4} a_2(p, \omega) - \frac{1}{2}$$

$a_1(p, \omega)$ $a_2(p, \omega)$ trace and the sum of principal second minors of the matrix \mathbf{P}

$$\pm \operatorname{Re} \theta_P = \pm q_P(p, \omega)$$

$$\pm \operatorname{Re} \theta_S = \pm q_S(p, \omega)$$

Dispersion equation analysis

$$b_1(p, \omega) = a_1(p, \omega)/4$$

$$b_2(p, \omega) = a_2(p, \omega)/4 - 1/2$$



$$y^2 - 2b_1y + b_2 = 0$$

$$|y_1| \leq 1 \quad |y_2| \leq 1$$

Propagating envelopes

$$b_2 = -1 \pm 2b_1$$

$$b_2 = b_1^2$$

$$y = \pm 1 \quad D(p, \omega) = 0$$

Dispersion equation analysis

Evanescent envelopes

$$|y| > 1$$

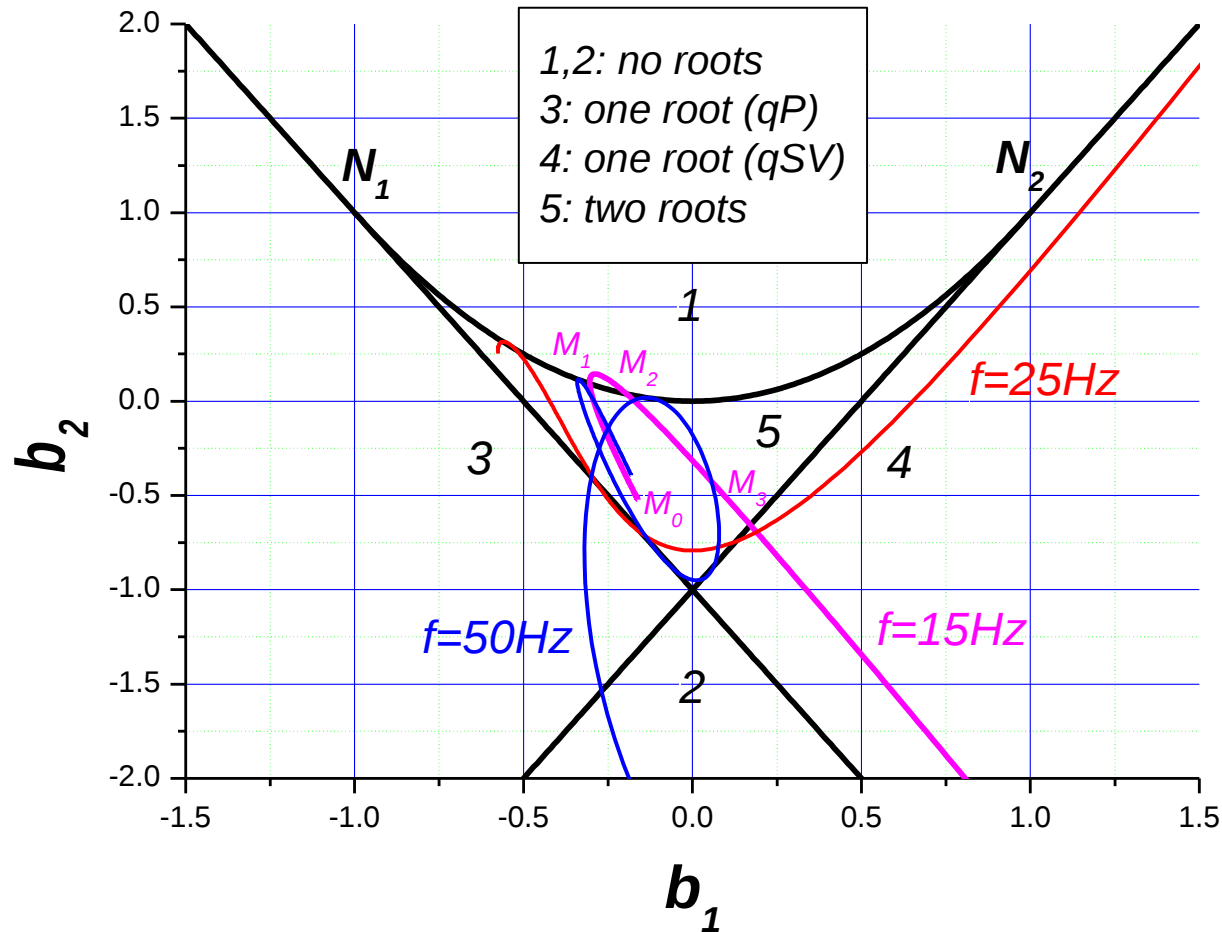
$$\cos(\omega H \theta) = y$$

$$\theta = \pm \frac{1}{\omega H} \left[2\pi n + i \ln \left(y + \sqrt{y^2 - 1} \right) \right], \quad n \in \mathbf{Z}, \quad y > 1$$

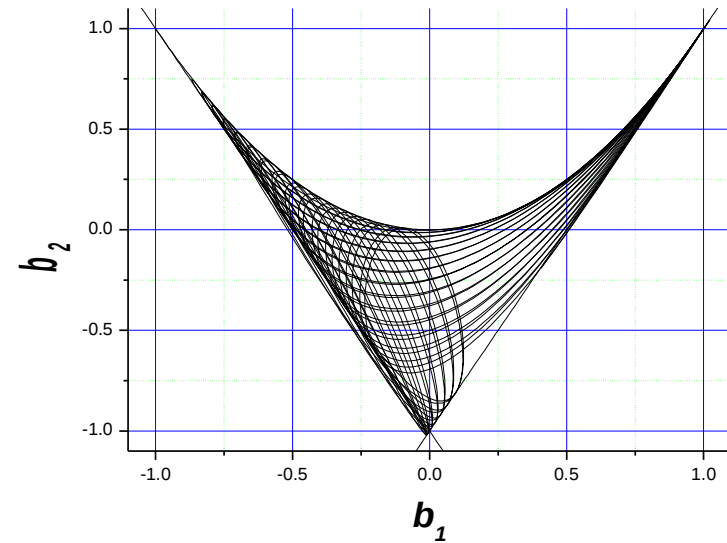
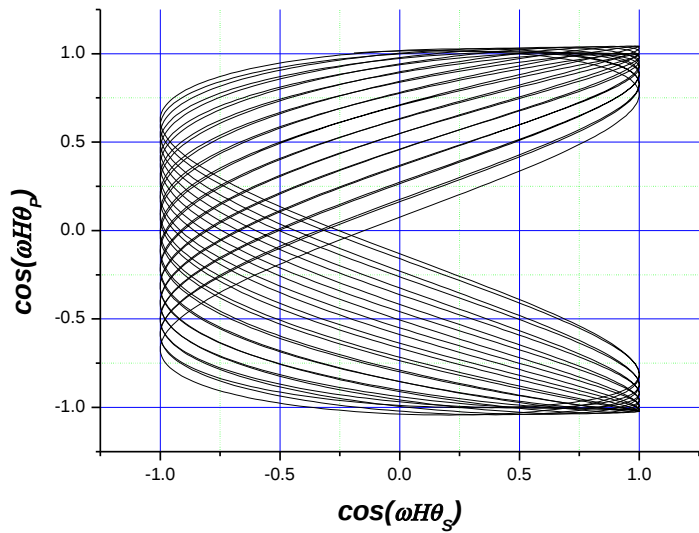
$$\theta = \pm \frac{1}{\omega H} \left[(2n + 1)\pi + i \ln \left(-y + \sqrt{y^2 - 1} \right) \right], \quad n \in \mathbf{Z}, \quad y < -1$$

$$q = \operatorname{Re} \theta = \text{const}$$

Dispersion equation analysis



Dispersion equation analysis



Normal incidence case: a Lissajous curve

Computational aspects

Vertical energy flux

$$E = -\frac{1}{2} \operatorname{Re} \left(u_x \sigma_{xz}^* + u_z \sigma_{zz}^* \right)$$

$$\mathbf{b} = \left(u_z, \sigma_{xz}, \sigma_{zz}, u_x \right)^T$$

$$\left| \exp(i\omega H \theta) \right| > 1 \quad \text{Up-going envelope}$$

$$\left| \exp(i\omega H \theta) \right| < 1 \quad \text{Down-going envelope}$$

Amplitude propagator

$$\mathbf{R} = \mathbf{EPE}^{-1} \quad (\mathbf{E} \text{ contains of the eigen-vector-columns of matrix } \mathbf{M})$$

Caustics asymptotical analysis

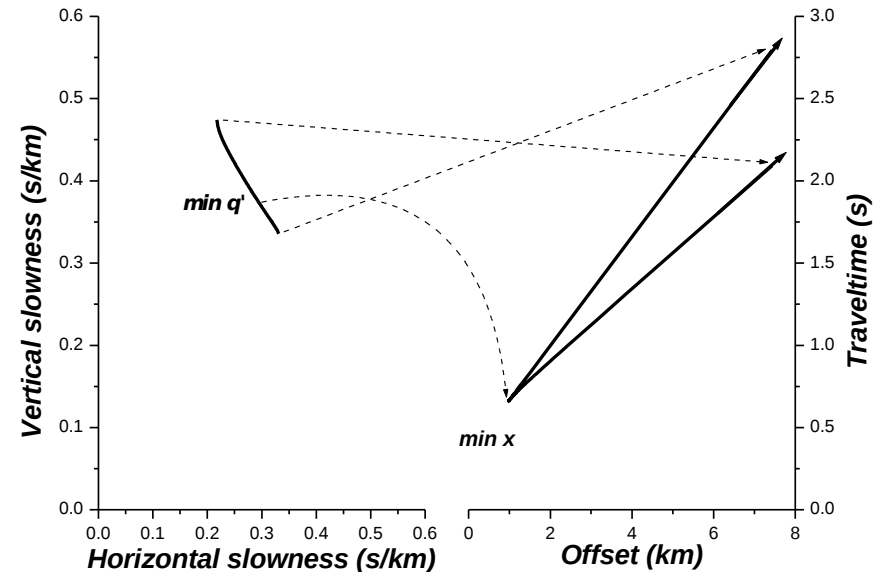
$$y(p^{(0)}) = 1 \quad dy/dp(p^{(0)}) = \alpha \neq 0$$

In the neighborhood of $p^{(0)}$

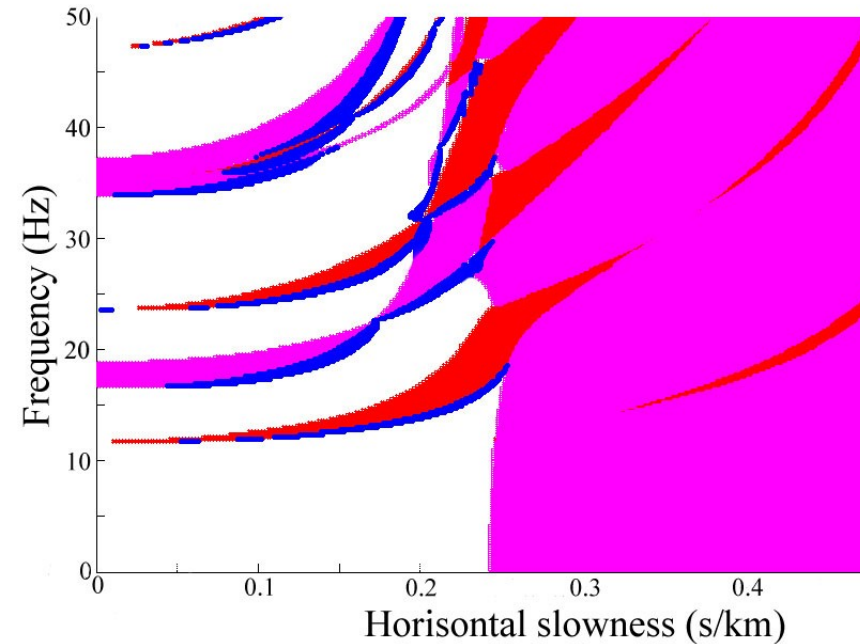
$$1 - \frac{\omega^2 H^2 dq^2}{2} \approx 1 + \alpha dp$$

$$dq = O(\sqrt{dp}) \quad dq/dp = O(1/\sqrt{dp})$$

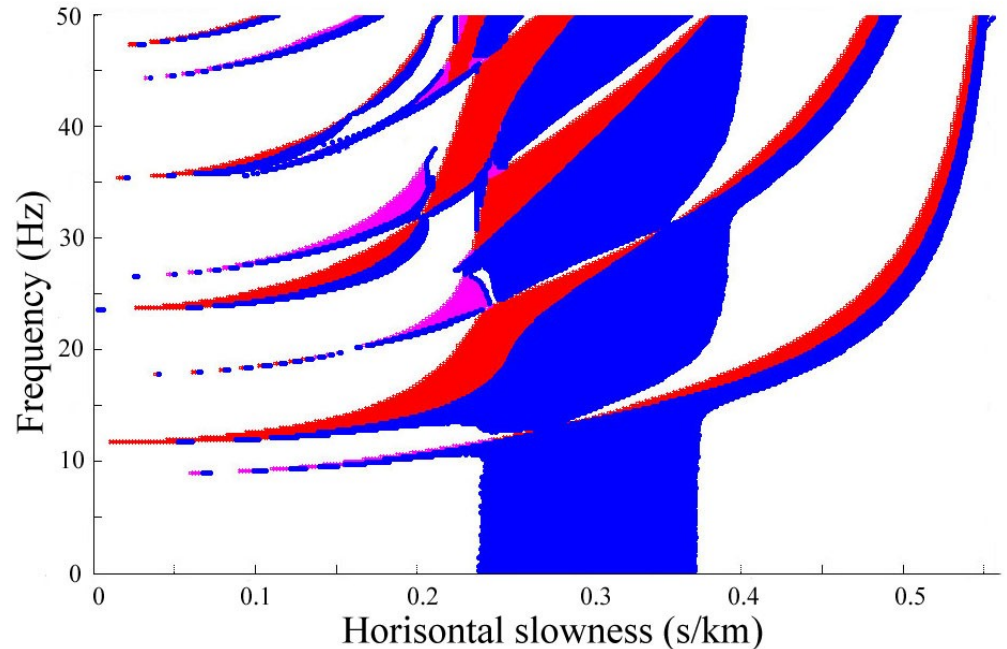
$$\lim_{p \rightarrow p^{(0)}} (dq/dp) = -\infty$$



Caustic regions



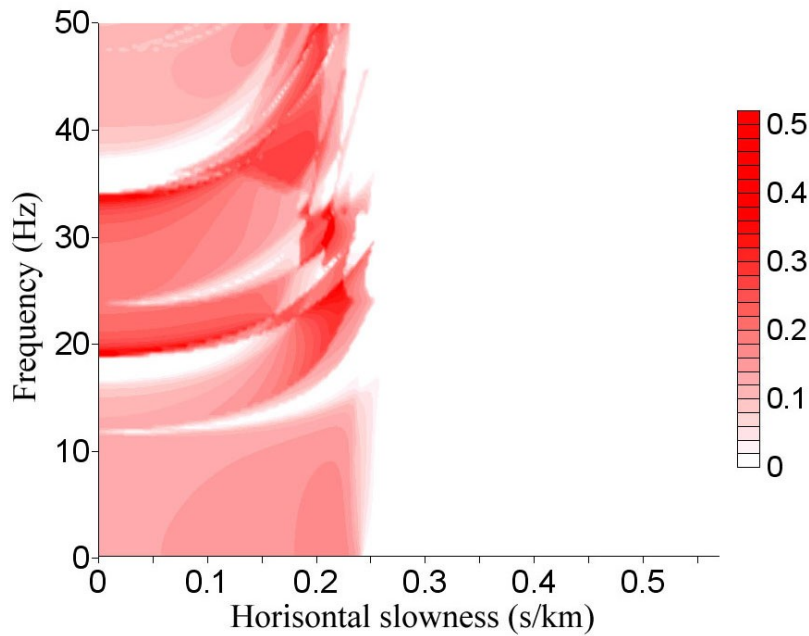
qP-wave



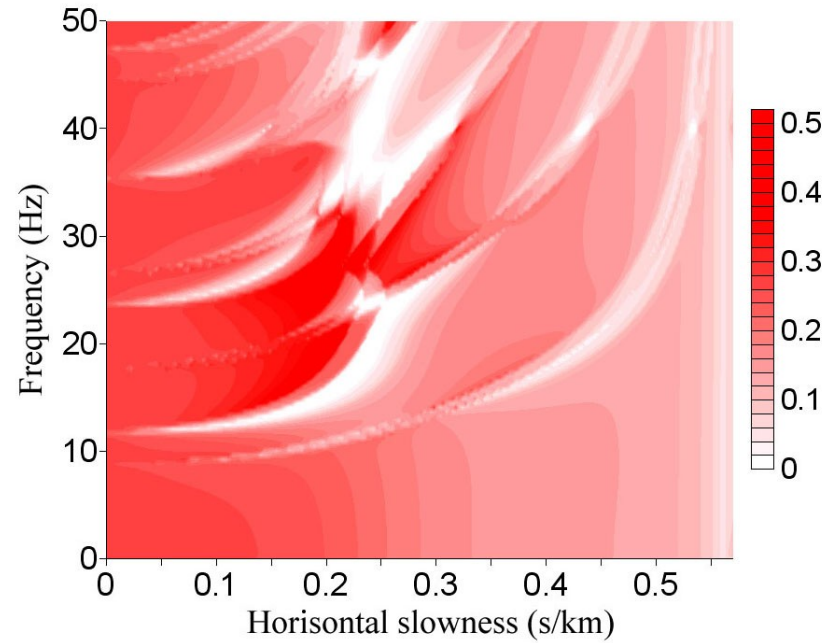
qSV-wave

The propagating, evanescent and caustic regions for qP-wave (to the top) and qSV-wave (to the bottom) shown in (p-f) domain. The regions are indicated by colors: red – no waves, white – both waves, pink – only qSV-wave and blue – caustic.

Vertical energy flux

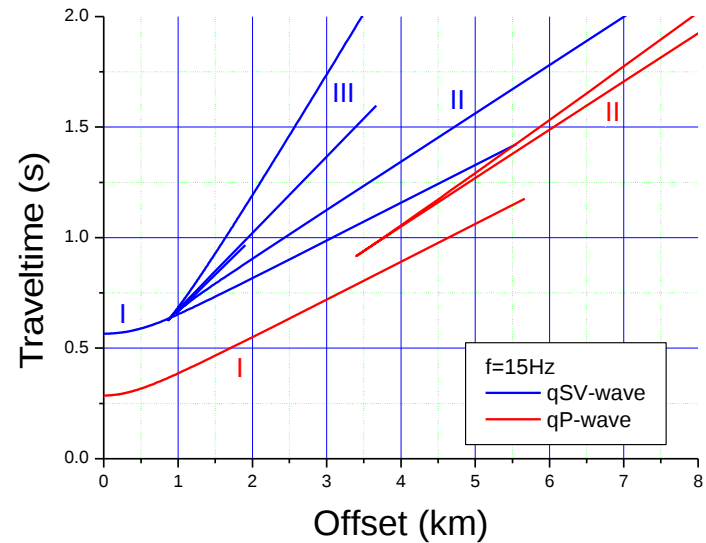
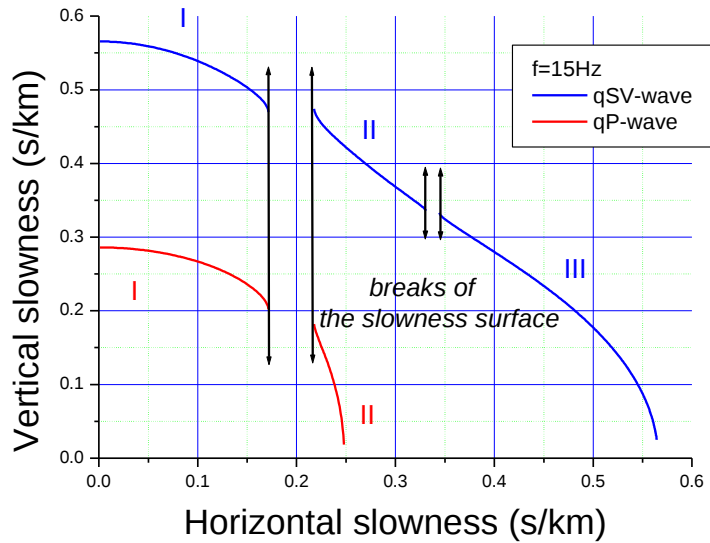


qP-wave

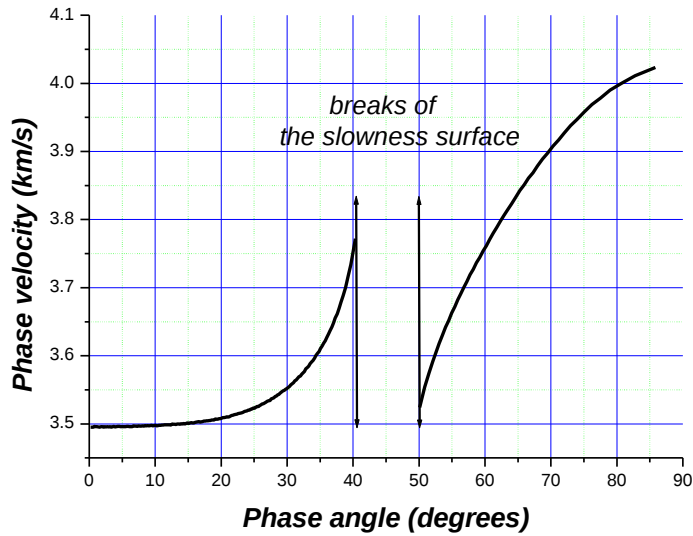


qSV-wave

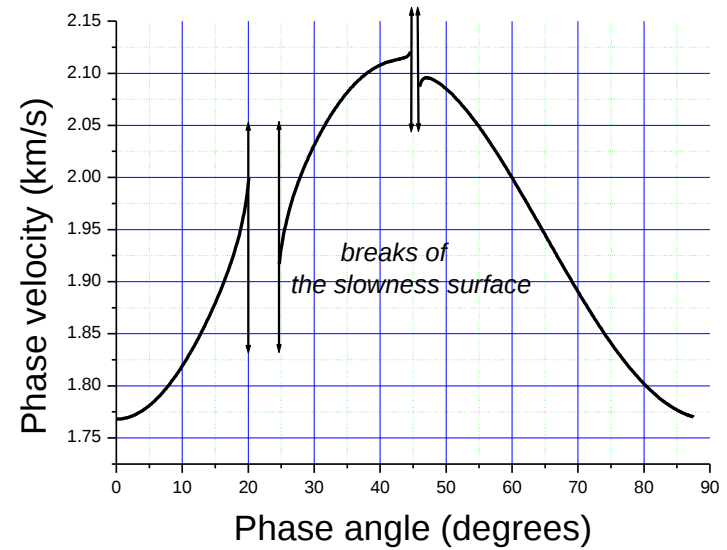
Discontinuous slowness surface versus travelttime



Phase velocities

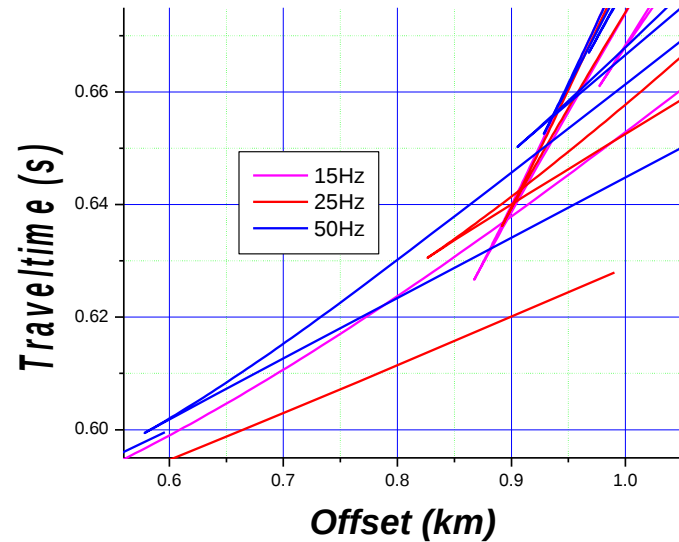
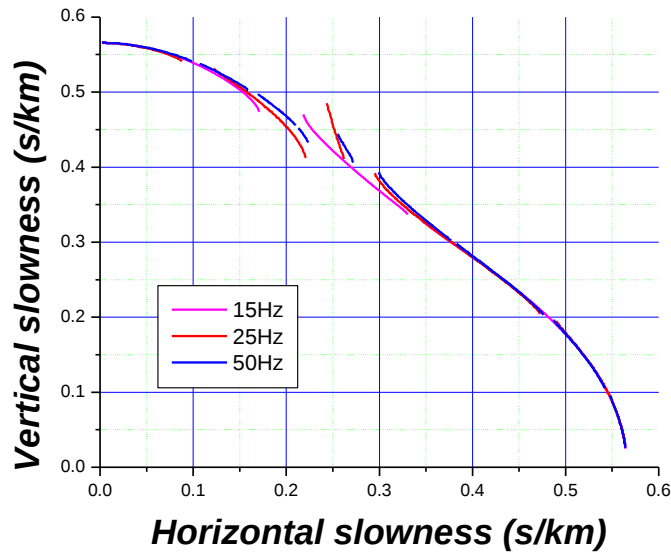


qP-wave

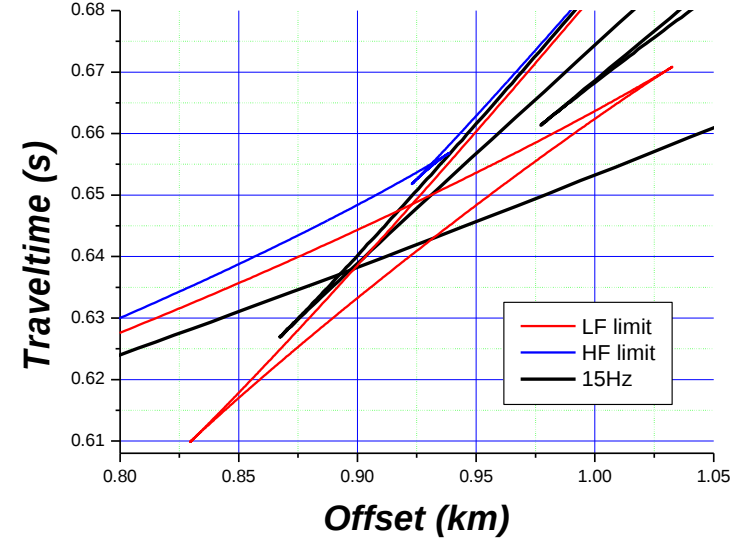
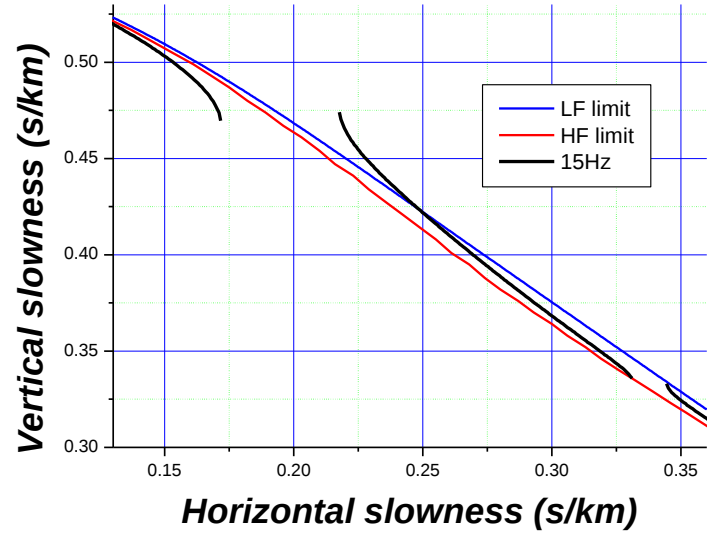


qSV-wave

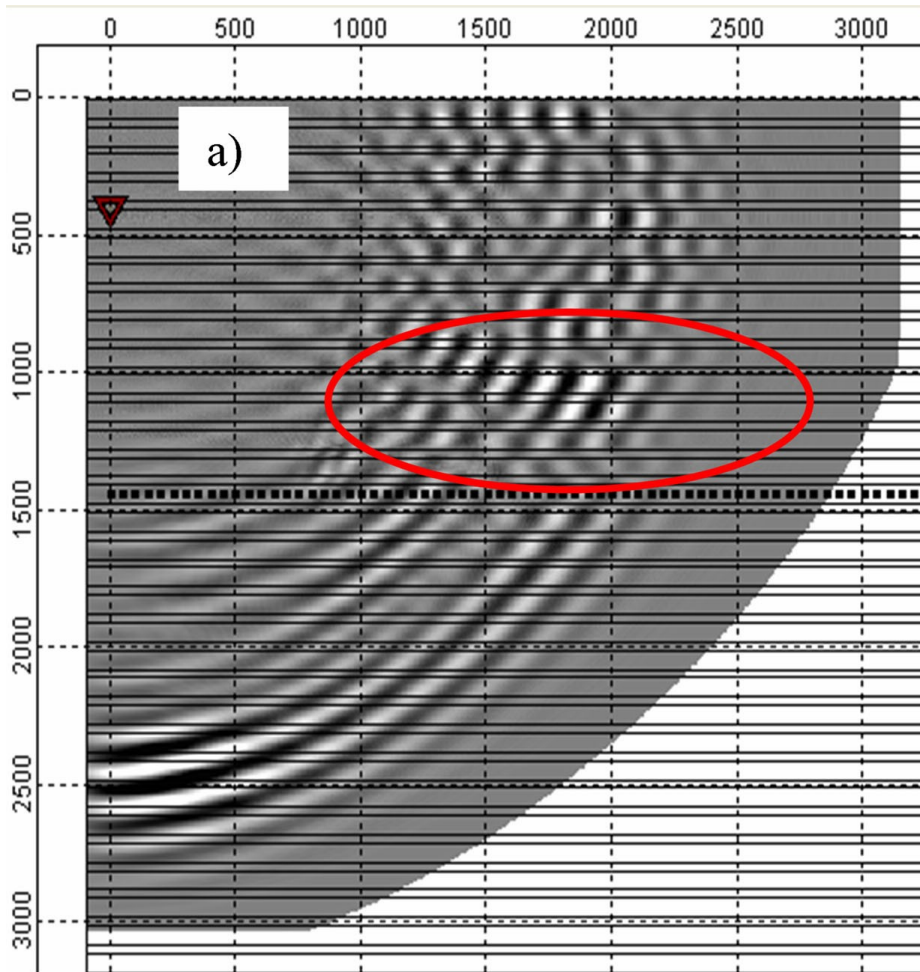
Single frequency caustics



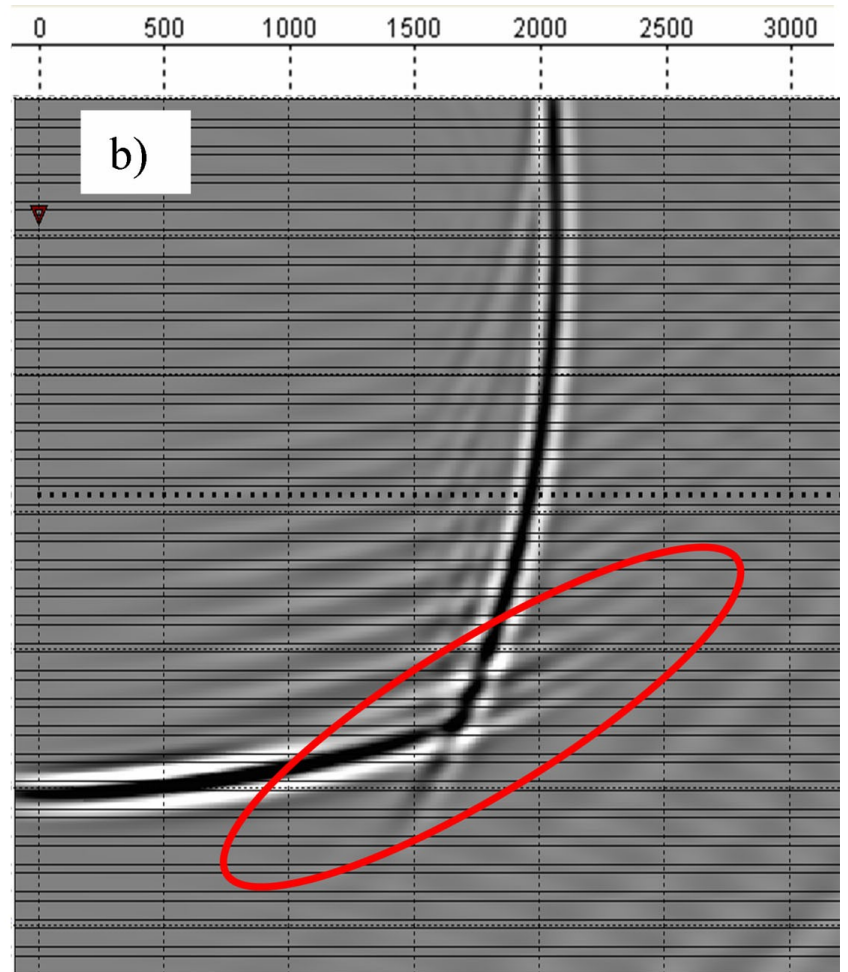
Single frequency versus frequency limits



Snapshots



qP-wave



qSV-wave

Conclusions

- From analysis of wave propagation in a periodically layered VTI medium, I define the discontinuous effective slowness surface for qP- and qSV-wave envelopes for given frequencies.
- The discontinuities result in the caustics in group domain.
- The qP- and qSV-wave caustics give different pictures on snapshots.

Acknowledgements

- I would like to acknowledge the ROSE project for financial support.